DEPLOYMENT PAPERWORK MADE EASY Grantees Deployment Tips:

What do I bring? What is the mailing address down on the ICE? Check out the "Participant Guide" for answers to questions like these. . Go to http://www.usap.gov/travelAndDeployment/contentHandler.cfm?id=541

Medical Department -

- Make your appointments RIGHT AWAY! It takes about eight (8) weeks to complete the deployment process. You MUST be Physically Qualified (PQ'd) at least three (3) weeks before your travel date.
- When you book your dental exam, tell your Dentist that the original x-rays MUST be sent. Note that we now accept electronic media of x-rays (via e-mail or CD). This year, in order to simplify the Dental PQ process, there will be three levels of Dental PQ, dependant upon the amount of time spent on the Ice. The winter-over PQ requirements remain the same. The summer-only PQ requirements are less stringent, and a new category with fewer requirements is for participants deploying less than six weeks. It is important to realize that if you elect to use the non winter-over Dental PQ requirements that you will not be eligible to winter-over until the PQ requirements are satisfied. It may not be possible to complete the winter-over requirements while on the Ice, therefore, when in doubt, ask your Dentist to follow the winter-over guidelines. This will not only assure that you are in the best dental health, but will allow you to accept extended contracts and multiple cruises, etc...
- We recommend using the enclosed LabCorp kit. When you use the provided lab kit, please ensure it is sent to LabCorp in Englewood, CO. If used, RPSC is directly billed for these expenses.
- Keep copies of EVERYTHING; the pink copies of the carbon forms are yours to keep.
- Should the Medical Department contact you with questions, it is your responsibility to follow up with them. For Medical processing and PQ updates simply contact the Medical office at: 1-800-688-8606 (prompt 3) or Medical@usap.gov.
- Extra copies of these forms can be downloaded from the web at http://www.usap.gov/travelAndDeployment/contentHandler.cfm?id=765

Which paperwork goes in which envelope?!?

ATTN: MEDICAL Envelope:

- 1) Personal Information Form, (NSF Form 1458)
- 2) Important Notice for Participants in the United States Antarctic Program, (NSF Form 1457)
- 3) Medical Risks for NSF-Sponsored Personnel Traveling to Antarctica, (NSF Form 1421)
- 4) Medical History Form, (NSF Form 1422)
- 5) All paperwork generated from your Doctors appointments and examinations, test results, and HIV consent form

Note: Test results can also be faxed to the RPSC Medical Department's secure fax at (303) 649-9275 or (720) 895-1090.

ATTN: DENTAL Envelope:

- 1) Polar Dental Examination Form, (NSF Form 1425)
- 2) Original x-rays or via electronic media; copies are not acceptable

Deployment Specialists Group –

- For flight information, hotel bookings, general questions, and more, simply contact the DSG at: 1-800-688-8606 (prompt 2); Local # 303-790-8606 (prompt 2), or deployment@usap.gov
- The Travel office will contact you approximately three (3) weeks prior to your departure to confirm your travel itinerary and your current mailing address. You will receive your tickets via Federal Express one to two weeks prior to departure.
- Notary Services are not available on Antarctica. You cannot count on being able to execute or revoke legal documents requiring notarization while on the Ice. Make every effort to settle outstanding legal matters before you leave for Antarctica, including a Power of Attorney.
- DSG paperwork can be returned in either the Medical or Dental Envelopes.

Raytheon Polar Services Company

7400 S. Tucson Way, Centennial, CO 80112-3938 (303) 790-8606 (800) 688-8606 fax (303) 649-9275

REQUIRED LABS

If you receive an actual lab kit box, please use and follow lab instructions found inside the box. Labs to be done no earlier than 6 months prior to deployment.

If you DID NOT receive a lab kit box, please have the following tests completed and the results sent to the RPSC Medical Department.

Lipid Panel

Triglycerides

Cholesterol, Total

HDL – cholesterol

LDL – cholesterol

CHOL/HDLC ratio

Biochem

Alkaline Phosphatase

Bilirubin, Total

Calcium

Chloride

Creatinine

Glucose, Serum

Glucose

Potassium

Aspartate Transaminase

AST (SGOT)

Alanine Transaminase

ALT (SGPT)

Sodium

Uric Acid

Iron, Total

Iron Binding Capacity

% Saturation

CBC with differential/platelet

Urinalysis, reflex

Hepatitis B core AB

Hepatitis C Antibody

RPR/VDRL (monitor)

ABO Group & RH type

PSA – for ages 40-49 with family history of prostate cancer; all males aged 50 and up

HIV – Recommended, but optional. Mandatory for winter-overs in Antarctica (February-October) and for participants in the walking blood bank

TSH - Mandatory for winter-overs in Antarctica (February-October)

NOTICE

You are required to report any changes in your health status occurring after your physical examination.

If you recently married or had a name change, please provide both of your names.

Report changes to:

RPSC Medical Screening 7400 South Tucson Way Centennial, CO 80112

Fax (303) 649-9275

E-mail: medical@usap.gov

Raytheon

Technical Services Company LLC Polar Services

7400 S Tucson Way Centennial, Colorado 80112-3938 USA 303.790.8606

To USAP Participants: (Personal Prescription Medications)

It is the responsibility of all participants to obtain a supply of their regular prescription medications to cover the time that they will be deployed. The Stations do not have prescriptions available to support maintenance medications – our medication stock is limited to support emergent requirements, in accordance with NSF requirements. Additionally, if any changes to your medical well-being occur after PO, you are required to let us know so we can ensure your health while deployed. Participants will not be allowed to winter-over unless they have enough of their regular medications to last through the winter season. The New Zealand custom laws, however, only allow for three months of prescription medications and one month of controlled prescription medications to be hand carried through New Zealand. Therefore, if you will be deployed for a longer period of time, you must make arrangements for additional medication to be mailed to the station medical clinic through the APO mail system. The medications will need to be in properly labeled pharmacy containers to be passed through the APO system. It is important that you hand carry the initial three months of medication (one month for controlled medications) in order to provide enough time for the mail to reach you in Antarctica. When you get your prescription medications filled, ask the pharmacist to put three months of medication (or one month of controlled medications) in one labeled container and the remainder in a separately labeled container. If you are not sure if your medication is controlled (Class II or III), ask the pharmacist when you get the prescription filled. Mail the containers with the remainder of the medication to Medical Clinic at the station where you will be deployed. Most health plans only allow one month of medication to be dispensed at a time. If you have difficulty in getting the amount that you need for your deployment, contact Human Resources at RPSC for assistance. We can coordinate with your health plan to help you obtain the sufficient quantity of your prescription to last your entire deployment.

Mail the medication to the APO address listed below. Packages destined for summer participants should be mailed after Labor Day or they will be returned. You can receive your medication at the medical clinic.

McMurdo Station – RPSC Medical Clinic, RPSC McMurdo Station PSC 469 Box 700 APO AP 96599-1035 South Pole Station -- RPSC Medical Clinic South Pole Station PSC 468 Box 400 APO AP 96598

Chilean customs laws do not restrict the amount of personal medications hand-carried through Chile and participants that are deploying through Chile can hand carry the amount of medication that they need for the deployment

Remember that you will have to clear customs in New Zealand to reenter the country on redeployment and the same restrictions on the quantity of medications will apply. If you have an excess amount on redeployment, mail the excess amount to yourself at home before leaving Antarctica.

If you have any questions about the procedure for transporting your prescription medications to Antarctica, contact the Medical Department at RPSC, 1.800.688.8606, option 3 on the menu.

RAYTHEON TECHNICAL SERVICES COMPANY LLC POLAR SERVICES

MEDICAL AND DENTAL - INSTRUCTION GUIDE - Long Form

Completed by RPSC Medical for each candidate, based upon age, gender, family history (if available), previous deployment history, and seasonal deployment needs. Additional tests/exams may be required based on information received. Additional tests/exams may be required based on facelkist. Take the checklist with you to appointments, along with the "Dear Doctor" letter (ME-D-102). **Pakifying and/or non-disclosure of information appointments. The program. Traveling to Antarctica" release. **Medical History NSF Form 1422. **The page medical history (long form) Traveling to Antarctica" release. **The page medical history (long form) Traveling to Antarctica" release. **The page medical history (long form) Traveling to Antarctica" release. **The page medical history (long form) Traveling to Antarctica" release. **The page medical history (long form) Traveling to Antarctica Traveli		DESCRIPTION OF FORM	ACTION
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	Psychological		Call RPSC Medical at 800-688-8606 option 3 to arrange an
screening- McMurdo or the South Pole during the austral appointment. Nicoletti-Flater Associates are located in Denver, CO,	Screening-	McMurdo or the South Pole during the austral	appointment. Nicoletti-Flater Associates are located in Denver, CO,
Winter Over winter (March-October). and will perform all psychological evaluations.			
Participants	Participants		

<u>Travel tickets</u> will not be issued until you are medically and dentally qualified (PQ'd). You must PQ at least three (3) weeks prior to your planned departure date, this includes the return of the two release forms and personal information sheet.

Waiver Process- If you are not medically/dentally PQ'd, then a waiver packet can be requested from RPSC and submitted to the NSF by RPSC. It is your responsibility to submit necessary information to RPSC Medical for further processing with the NSF. The NSF makes the determination whether or not you deploy to Antarctica. The waiver process can take up to eight (8) weeks, so plan accordingly if you think a waiver will need to be submitted. Contact Medical for instructions.

NATIONAL SCIENCE FOUNDATION - POLAR PHYSICAL EXAMINATION (ANTARCTICA)

MEDICAL HISTORY

Compulate manage 4 F	in inde	Polar Medical Sta	aff Use Only	,		-				
Complete pages 1-5	III IIIK	□PQ			П₽О 9	Summer Only	□NPQ			
prior to Dr.'s exam						ounnier only				
		Medical Conditi	ons:							
Polar Medical Staff Use	Only									
	Restrictions and Follow-up:									
		restrictions and resion up.								
Reviewed/Date:										
		Reason for N	NPQ:							
							T =			
Name: last, first, mid	dle (must	match passport)		Birth date		Telepho	ne (include a	area code)	
						(YY/MM/DD)	Day:			
Street				City	State	Zip				
							Evening	:		
Age	Sex		Nickna	ame		E-Mail:				
						L-IVIGII.				
	F	Ш м								
Emergency Point of 0	Contact (N	ame, Address a	nd Phone N	Number):						
Affiliation:		Affiliatio			Proposed An	tarctic Season:		ed Antarctic		
☐ NSF		☐ RPS(A (Tab.)	☐ McMurdo Station☐ Field Camp			
☐ Science Event #_		JOD I	itie:		☐ Summer (Aug-Feb)	South Pole Station			
					☐ Winter (M	ar-Oct)	Palmer Station			
☐ Official Visitor Ev	ent #	-	r Contracto	r	□ O45			RV/NB Palmer ☐ RV/LM Gould		
☐ Technical Event #	ŧ		Job Title:		Other(dates)		USCG Icebreaker		er .	
Teorinical Eventy	'					adioo)		er (specify)_		
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Estimated Deployment	nt Dates		Previo	us Polar (Arctic	or Antarctic) De	ployment?				
From	to		Date: _			Location:				
	FAMII '	Y PERSONAL N	AEDICAL E	IISTORY****DO	NOT USE FOR	YOUR OWN HEALT	H HISTORY*	***		
Relationship	Age				NOT COL TON		Cause of Dea			
Father			•	<u> </u>		Ţ,				
Mother										
Spouse Brothers/Sisters/ Chil	dren (list h	oelow).								
Brothoro, Clotoro, Chin	1									
Family History of: Ch	eck box, If			Relationship		of: Check box, If yes			Relationship	
Diabetes?		☐ YES	□ NO		Kidney Diseas	se? Describe:	☐ YES	□ NO		
Insulin Required?		☐ YES	□ NO							
Heart Attack?		☐ YES	□ NO		Cancer?		☐ YES	□ NO		
Age?					T 0					
Stroke?		☐ YES	□ NO		Type?					
Age?)occribe:	□ VEQ			Stomach/GI D	Nicopoo?	□ VEQ		1	
Bleeding Disorder? [(Hemophilia, Clotting		☐ YES	□ NO		Storilatif/Gf L	/13Cd3C !	☐ YES	□ NO		
Deficiency)					Type?	····				
Autoimmune Disorde	r?	☐ YES			Montal Lisa	Ith Disorders?				
Describe: (Rheumato			□ NO			ith Disorders? .e., Depression,	☐ YES	□ NO		
Lupus, Other)						cide, Schizophrenia)				
		1		1	I		ĺ	ĺ	ĺ	
Hemoglobin disorder	?	☐ YES	□ NO							
Hemoglobin disorder' Describe: (Sickle Cell Thalassemia, etc.)		☐ YES	□ NO							

NAME_	DOB		
PERSONAL MEDICAL HISTORY (ANSWER THE F	OLLOWING QUES	TIONS REGARDING	YOUR PRESENT OR PAST MEDICAL HISTORY)
Do you have any allergies to medications? ☐ YES ☐	NO If yes, which	1?	
Do you have any other known allergies? ☐ YES ☐	NO If ves describe	e (including your read	tion)
		o (morating your road)	
Medications: List all you take, including Over-the-Counter N	ledications and Vitan	nins:	
Name of Medication	Dose		daily, twice daily, as needed, etc.
Surgeries/Hospitalizations – List all surgeries and dates (i	nclude any outpatien	t surgery): If more sp	pace is needed, use back or add a sheet.
			ADDITIONAL COMMENTS
			ADDITIONAL COMMENTS
1 Seizure disorder? Date of Last Seizure:	☐ YES	□ NO	
Head Injury? Loss of Consciousness – Date	☐ YES	□ NO	
How Long 2 Headaches?	☐ YES	□ NO	
Migraines?	☐ YES		
Date Diagnosed			
Date of last Migraine 3 Vision: Do you wear glasses? contacts'	?	□ NO	
Do you have unequal pupils?	☐ YES	□ NO	
Do you have blindness in one or both eyes? Do you have Glaucoma?	☐ YES		
Do you have Cataracts Do you have Double Vision?	☐ YES		
Do you have bottle vision? Do you have other vision problems? Describe:	☐ YES		
4 Dizziness/Fainting Reason:	☐ YES	□ NO	
Date of occurrence:			
5 Do you have ear, nose, or throat problems? Describe:	☐ YES	□ NO	
Hearing Impairment?	☐ YES	□ NO	
Hayfever?	☐ YES	□ NO	
Are you currently taking allergy shots?	YES		

PERSONAL MEDICAL HISTORY (continued)

ANS	SWER THE FOLLOWING QUESTIONS REGARDING YOUR PR	ESENT OR P	PAST MEDICAL HISTORY	ADDITIONAL COMMENTS
6	Do you have any Pulmonary Disease?	☐ YES	□ NO	
	Chronic Obstructive Pulmonary Disease (COPD)?	☐ YES	□ NO	
	Pulmonary Embolism/Blood Clots?	☐ YES	□ NO	
	Sleep Apnea?	☐ YES	□ NO	
	Asthma? Date of last attack Number of attacks in past year	☐ YES	□ NO	
	Emphysema or chronic Bronchitis or Bronchiectasis?	☐ YES	□ NO	
	Shortness of Breath of Difficult Breathing? Explain:	☐ YES	□ NO	
	Tuberculosis History of positive TB skin test Have you ever received BCG?	☐ YES ☐ YES ☐ YES	□ NO □ NO □ NO	
	Have you ever experienced altitude sickness? At what altitude Describe treatment:	☐ YES	□ NO	
7	Do you have Heart Problems/Disease?	☐ YES	□ NO	
	Previous Heart Attack?	☐ YES	□ NO	
	Angina/Chest Pain? Describe (include frequency, precipitating factors, and treatments):	☐ YES	□ NO	
	Congestive Heart Failure (CHF)?	☐ YES	□ NO	
	Supraventricular Tachycardia (SVT)? Date diagnosed Frequency and treatment:	☐ YES	□ NO	
	Atrial Fibrillation? Date diagnosed	☐ YES	□ NO	
	Heart Murmur/Valvular Heart Disease? Date diagnosed Limitations:	☐ YES	□ NO	
	☐Angiogram ☐Angioplasty ☐Stent☐Cardiac Bypass Surgery☐Date	☐ YES	□ NO	
	Pacemaker?	☐ YES	□ NO	
	Hypertension? Date diagnosed	☐ YES	□ NO	
	TIA/Stroke? Date	☐ YES	□ NO	
	History of Deep Vein Thrombosis (DVT)/Blood Clots?	YES	□ NO	
	History of Abdominal or Cerebral Aneurysm?	YES	□ NO	
8	Do you have diabetes? Date diagnosed: Controlled by: ☐Insulin ☐Oral medication ☐Diet Last Emergency Room visit:	☐ YES	□ NO	
9	Do you have Cholesterol disorders? Date diagnosed:	☐ YES	□ NO	
10	Controlled by: Oral medication Diet Arthritis?	☐ YES	□ NO	
10	Type:Permanent disability?	☐ YES	□ NO	
	remanent disability?	⊔ 1⊏9	⊔ NO	

NAME_	DOB	
1)		

PERSONAL MEDICAL HISTORY (continued)

ANS	SWER THE FOLLOWING QUESTIONS REGARDING YOUR PR	ADDITIONAL COMMENTS		
11	Do you have Gout? If so, describe your treatment plan	YES	NO	
12	Do you have Thyroid Disease? Explain, if Yes - include medication	YES	NO	
	Surgery required? When?	YES	NO	
13	Have you ever used tobacco/tobacco products?	YES	NO	
	Do you currently use tobacco/tobacco products? Type of use ☐cigarettes ☐cigar ☐pipe ☐chew Packs per week? ☐ Number of years of tobacco use in past ☐ If you've quit, last year of use ☐	YES	NO	
14	Have you had an Exercise Stress Test/Treadmill?	YES	NO	
	If yes, when? Do you have a regular exercise program?			
15	Do you have a regular exercise program? Describe:	YES	NO	
16	Have you had Stomach/Bowel Problems? Anemia Black tarry stools Blood in stools Frequent or persistent diarrhea Gallbladder Problems/Stones Heartburn Hemorrhoids Inflammatory bowel disease (Crohns/Ulcerative Colitis) Ulcers Date of last flare up	YES	NO NO NO NO NO NO NO NO NO NO NO	
17	Have you been diagnosed with liver problems? Hepatitis? Type	YES YES	NO NO	
18	Do you have Kidney problems? History of Kidney Stones? Polycystic Kidney Disease? Frequent Urinary Tract Infections? Do you have a history of Hernias?	YES YES YES YES	NO NO NO NO	
10	Date	120		
20	Have you had any sexually transmitted diseases?	YES	NO	
	When? Type:	YES	NO	
21	Cancer or leukemia? Type/Location:	YES	NO	
	Date diagnosed Surgery Chemotherapy Radiation Therapy Other Treatment:	YES YES YES YES	NO NO NO NO	

DED	NAME	OOB		
	SONAL MEDICAL HISTORY (continued) NER THE FOLLOWING QUESTIONS REGARDING YOUR PRE	ADDITIONAL COMMENTS		
22	Skin rash/Disease? Describe (include duration and treatment):	☐ YES	□ NO	
23	Broken bones? Orthopedic Pins/Plates? Dislocations? Back injuries For any "YES" answers, list date, area affected and treatment:	☐ YES ☐ YES ☐ YES ☐ YES	□ NO □ NO □ NO □ NO	
24	Have you ever been or are you currently treated for? Schizophrenia Depression Bipolar Panic Attacks Anxiety Attacks Obsessive/Compulsive Disorder Suicide Attempt/Thoughts Eating Disorders	☐ YES	□ NO	
	□Addiction □Other: Have you ever been hospitalized for psychiatric treatment? Describe with length and dates:	☐ YES	□ NO	
25	For Men:	☐ YES	□ NO	
	History of Prostate disease including prostatitis or prostate stones? When? Describe treatment:	☐ YES	□ NO □ NO	
	Surgery required?	☐ YES	□ NO	
26	Date For Women: Date of last period: Date of last PAP Smear: Results: ☐ Normal ☐ Other (describe): Are you currently taking Oral contraceptives? History of severe Menstrual Cramps/PMS? Endometriosis? Ovarian Cysts? Describe treatment:	☐ YES ☐ YES ☐ YES ☐ YES	□ NO □ NO □ NO □ NO	
27	Do you drink alcohol? Quantity per day Total per week	☐ YES	□ NO	
	Have you ever felt you should decrease your drinking? Explain:	☐ YES	□ NO	
	Have you ever received a DUI or court ordered treatment? Describe circumstances:	☐ YES	□ NO	
	Have you ever been diagnosed as an alcoholic? If now sober, length of sobriety	☐ YES	□NO	
cont prov I alse	tify that the information contained herein is complete ractor's medical staff of <u>ALL</u> medical/health changes to the requested information may result of understand that willfully providing false statements	that occur in a denial to a Feder	after submitting this for of my application for	orm. I understand that failure to assignment to the Polar Regions.

Raytheon Polar Services Company

7400 S. Tucson Way, Centennial, CO 80112-3938 (303) 790-8606 (800) 688-8606 FAX (303) 649-9275

Dear Doctor:

This person is applying for a position with the United States Antarctic Program (USAP). Due to the remoteness of the area, medical facilities have limited diagnostic and therapeutic modalities. The clinics in Antarctica can comfortably manage primary care problems. Emergency situations requiring hospitalization or sophisticated diagnostic procedures require evacuation to New Zealand or the South American continent. Under optimal conditions, medical evacuation can be performed in no less than twelve hours. At Palmer Station evacuation is only available by sea and may take several days. At McMurdo and the South Pole Stations, weather conditions can delay flights in and out of Antarctica for several days in the summer. Winter evacuations are virtually impossible. Consequently, common clinical situations in urban communities such as evaluating atypical chest pain, acute abdominal pain or treating renal calculi can present a major dilemma.

Antarctica is the highest, driest and coldest continent on earth. Temperatures at McMurdo Station are frequently well below freezing in the summer. South Pole temperatures average –30F degrees in the summer with wind chills commonly –60F degrees. Employees live in a confined space during the unrelenting six-month summer daylight or winter darkness. The South Pole is at a physiological altitude greater than 10,000 feet and has virtually no humidity. Altitude sickness is very common and must be differentiated from other serious causes of dyspnea, dizziness and chest pain. Accordingly, it is vitally important to thoroughly screen individuals to identify risk factors for cardiopulmonary and psychological conditions. Your comments on the overall health of the applicant are valuable in contributing to the success of the Program and the safety of the participant. The USAP has medical examination requirements for summer and winter deployment as discussed below:

Summer Deployment (August-February)

Medical Examination/Testing:

All tests and labs to be performed on this candidate can be found on the "Medical/Dental Checklist for Deployment Clearance to Antarctica." Additional tests and exams may be required based on this information. Please review the candidate's checklist, five-page Medical History Form, and perform a physical examination. A comment on all positive findings in the history and examination is required to help in expediting the medical clearance process. All sections of the medical exam must be performed. The lab testing must be done within 6 months of deployment.

Blood typing is required for all applicants. Personnel are requested to contribute to the USAP's walking blood bank. You may ask the participant if they are able to contribute blood. If the candidate indicated his/her willingness, please note the answer next to the blood type on the Physical Examination Form. This is not a requirement of you or the candidate and will not affect deployment clearance.

Summer Deployment (October to February)

• All tests required for summer deployment – see Medical and Dental checklist

Winter Deployment (February to October)

- All tests required for summer deployment, plus the following:
 - TSH
 - HIV Testing
 - Chest X-ray send report only
 - Psychological testing for McMurdo and South Pole stations

Administrative Information

Lab Results: The labs must be sent to the contract laboratory. A pre-paid FedEx mailer is provided in the laboratory kit. Please check appropriate boxes on lab requisition for required labs (refer to Medical/Dental Checklist). Copies of the lab results can be obtained from RPSC Medical department.

Return of Examination/Tests: Please send the original medical history and physical examination, including requested tests, to RPSC Medical in the enclosed envelope.

Other Requirements: Prescription medications (type and quantity) are limited at all Antarctic medical facilities. Candidates are required to bring a sufficient supply of their own medication for the duration of their deployment. RPSC Human Resources Department can assist candidates in obtaining sufficient medications if their prescription plans limit the quantity allowed at each refill.

Payment for this Examination: The candidate is responsible for payment! This includes insurance deductible(s), payment of all charges incurred by this exam if no insurance is available, or insurance payment is denied. RPSC WILL NOT REIMBURSE HEALTH CARE PROVIDERS.

THANK YOU FOR YOUR COOPERATION WITH THIS MEDICAL EXAMINATION.

	NAT	IONAL SC	CIENCE F	OUNDATION		
	POLAR PHYSIC	AL EX	AMINA	ATION - ANTAR	CTICA	
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BLC	OOD TYPE:					
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	VITAL SIGNS			V	ISION	
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HEIG BP:	GHT: WEIGHT: PULSE:		DIST 	NEAR	DIST R ———	NEAR
	PIRATIONS: TEMPERATURE:				Ľ	
COI	DES: O – Within Limits					
	I – Significantly Abnormal X – Not Examined		Code	Remarks (discuss abno	ormal findings in detail)
		<u> </u>		Tromanio (dioddo don		,
1.	General Appearance	-				
2.	Head and neck	-				
3.	Eyes	-				
4.	Ears	-				
5.	Nose					
6.	Mouth					
7.	Thyroid					
8.	Lymph nodes					
9.	Chest, Lungs, Breasts					
10.	Heart					
11.	Abdomen					
12.	Inguinal, include hernia					
13.	Genitalia					
14.	Anal and Rectum					
15.	Spine					
	Forward Bend, Fingers Miss Floor Inc	hes				
16.	Upper Extremities					
17.	Lower Extremities					
	Varicosities					
18.	Skin, Lymphadenopathy					
	Identify Body Marks, Scars, Tatoos					
19.	Peripheral Vascular					
20.	Neurologic Status (include Reflexes)	F				
21.	Emotional Status	F				
22.	Pelvic Exam					

Men > Age 40: Prostate Exam.....

23.

Guiac Test (Required annually for age Results Da Examiner's Diagnos (Please ask the candidate if the	ses and Co			equired Annually) Date rior to deployment.)
Results Da Examiner's Diagnos	ses and Co	(Update every 10 years) Date Dmments:	Results	Date
Examiner's Diagnos	ses and Co	omments:		
			btained which should be known pi	rior to deployment.)
(Please ask the candidate if the	re is any other	medical information not already o	btained which should be known pr	rior to deployment.)
(Flease ask the candidate if the	rie is any other	medical information not already o	otaliled willer should be known pi	ior to deployment.)
I have thoroughly examined this	candidate for tra	avel to the Polar regions. I have i oriately. I have performed all diag	reviewed the participant's history valuested	with him/her, including
ALL positive responses, and con	inchica approp	matery. Thave performed an diag	mostic tests as requested.	
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Return the completed examination form and results of the requested tests to (return envelope enclosed):

Raytheon Polar Services Company
Attention: MEDICAL
7400 S. Tuscon Way
Centennial, CO 80112-3839

1-800-688-8606 ext 32287 Fax: 303-649-9275

IMPORTANT NOTICE TO THOSE SIGNING THE MEDICAL SCREENING FOR BLOOD BORNE PATHOGENS/HIV CONSENT FORM

Please be advised that a signature on the medical screening form, Blood Borne Pathogens/NSF Form 1424, does not ensure that an HIV test will be done. You must specify on the lab requisition by marking the HIV box if you want the test done.

If you are a Grantee and have chosen to use an outside laboratory, please note that you must request to have this test drawn.

If you choose to have this test, please sign the consent form and return it with your medical paperwork.

Screening for HIV is a requirement for participants who are wintering or who would like to participate in the walking blood bank.

If you have any questions, please contact RPSC Medical at 1-800-688-8606 option 3.

NATIONAL SCIENCE FOUNDATION

4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

OFFICE OF POLAR PROGRAMS

Medical Screening for Blood-borne Pathogens

United States Antarctic Program (USAP) medical clinics at the three U.S. research stations do not maintain supplies of frozen blood. In the event of the need for a transfusion, other individuals at the research station with matching blood types would be asked to donate fresh whole blood for the patient. In order to maintain a viable donor pool, the National Science Foundation requests that USAP participants during the austral summer season voluntarily submit to testing for Human Immunodeficiency Virus (HIV) along with the required Hepatitis virus B and C as part of their medical screening process. Please note that HIV testing is required for candidates intending to spend the winter in Antarctica.

Consent for HIV Antibody Blood Test

I have been informed that my blood will be tested for Human Immunodeficiency Virus (HIV) antibodies, the causative agent of Acquired Immune Deficiency Syndrome (AIDS). I understand that the testing involves the withdrawal of a small amount of my blood by venipuncture and subsequent testing of that blood sample via ELISA and Western Blot methods.

I understand that if I have any questions regarding the testing procedure or interpretation of results, I should discuss them with my health care provider. I understand that my examining physician will receive a copy of these test results and may be required, under State law, to report positive test results to State Health Department authorities and I consent to these disclosures.

I understand that the results of this blood test will be incorporated into my USAP medical file. All information in that file is maintained in accordance with the Privacy Act (5 USC 552a) and protected against unauthorized release, as described in the appended Privacy Notice.

Having read and understood the above statements, blood to determine the presence of HIV antibodies.	I hereby give my consent to the collection and testing of my
0.00 .00 0.00 0.00 p. 0.00 0.00 0.00 0.00 0.	
Print Name	Signature and Date

Raytheon Polar Services Company

7400 S. Tucson Way, Centennial, CO 80112-3938 (303) 790-8606 (800) 688-8606 FAX (303) 649-9275

Dear Dentist:

This person is being considered for participation in the United States Antarctic Program (USAP). Antarctica is isolated and lacks dental facilities, therefore the state of the dental health of the candidates is important. All annual cleaning/prophlylaxis, preventive and corrective procedures must be completed prior to deployment.

All **Winter-over** participants (Feb-Oct) must be free of dental disease and all treatment must be completed three weeks prior to deployment. This means there must be no caries, active periodontal disease, potential endodontic disease, prosthetic deficiencies, potentially symptomatic wisdom teeth or any uncompleted treatment. Additional treatment or procedures may be required before this person can deploy to Antarctica. All dental work must be completed, documented and sent to RPSC Medical for review in order for the candidate to be dentally qualified for deployment.

Candidates only deploying for the **summer** (Oct-Feb) must have an exam to verify that they will be free of dental problems for the next 12 months. All treatment or procedures that are necessary for the participant to qualify must be completed 3 weeks before the deployment date.

Candidates only deploying for **less than 6 weeks** must have an exam to verify that they will be free of dental problems for the next 6 months. All treatment or procedures that are necessary for the participant to qualify must be completed 3 weeks before the deployment date.

Please indicate on the Dental Examination Form the level of clearance (winter-over, summer, 6 weeks) that you are certifying.

All Candidates are required to:

I. DOCUMENTATION OF DENTAL EXAMINATION

Please chart all existing restorations, missing teeth and endodontically treated teeth only on the Dental Examination Form. The treating dentist must sign the Dental Examination Form and documentation of all completed work.

II. THIRD MOLARS

To qualify for deployment to Antarctica with the USAP, <u>treatment must be completed three weeks prior to deployment</u> in order for the dental condition to stabilize before deployment. Third molars must be extracted if they are symptomatic or any of the following are present:

- 1. Periodontal probe can contact the crown of an erupted third molar;
- 2. Bleeding or poor hygiene is evident in the third molar area;
- 3. Pseudo pockets, bony pockets are present;
- 4. Soft tissue extends onto the occlusal surface of the third molar;

(Over)

Raytheon Polar Services Company

7400 S. Tucson Way, Centennial, CO 80112-3938 (303) 790-8606 (800) 688-8606 FAX (303) 649-9275

III. RADIOGRAPHS

ORIGINAL MOUNTED RADIOGRAPHS must be included with the Dental Examination Form. Copies or poor quality radiographs will not be accepted. Digital radiographs can be sent in high-resolution JPEG format or printed in high resolution on glossy photographic paper. Radiographs become a part of the candidate's USAP record and **WILL NOT BE RETURNED** to you or the candidate, so you may wish to use a double film pack to retain original radiographs for yourself. Necessary radiographs include:

- 1. Set of four **ORIGINAL** bitewing x-rays <u>mounted</u> showing crestal bone and all posterior teeth **contacts clearly**. These films must be taken within 6 months of the deployment date and must accompany the completed examination form.
- 2. Panoramic and/or mounted full mouth survey Must have been taken within 5 years of deployment date and updated every five years.
- 3. A periapical (PA) film of all endodontic work, crowns, and extensive restorations.

IV. ORTHODONTICS

Candidates with fixed orthodontic appliances or undergoing any active treatment may be considered for short deployments, only with written approval from the attending provider and approval from the RPSC dental reviewer.

- 1. Unrestricted Clearance Fixed or removable orthodontic retainer only, with no active appliance.
- 2. Restricted Clearance for deployments up to six months Candidates undergoing orthodontic treatment who do not require treatment for the period of deployment and who have not had active treatment for two months prior to deployment.

In view of the fact that there will be no orthodontic care, and in most cases, no dental care available, consideration should be give to placing the candidate in passive appliances or passive retention for the period of deployment.

V. SUBMISSION OF DENTAL FORM AND RADIOGRAPHS

Send the signed, completed examination form and documentation of treatment and ORIGINAL radiographs or digital files to RPSC Medical in the envelope provided. (The digital files may be sent to medical@usap.gov)

VI. PAYMENT

Insurance submission and payment of out-of-pocket fees/deductibles for all dental work, including exam, radiographs, and any necessary treatment **IS THE RESPONSIBILITY OF THE CANDIDATE**.

THANK YOU FOR YOUR COOPERATION WITH THIS DENTAL EXAMINATION.

NATIONAL SCIENCE FOUNDATION

POLAR DENTAL EXAMINATION - ANTARCTICA

NAME:	DATE OF BIRTH	DAY TELEP	HONE#
AGE: YEAR PREVIOUS [DEPLOYMENT:	CURRENT DEPLOYMENT:	TO
Chart existing restorations, missing teeth a teeth only: 1		RPSC T-Ev	YES NO
	3 F	MOLARS PRESENT OTENTIALLY SYMPTOMATIC	YES NO
	<i></i>	LLERGIES:	
Documentation of all treatment identified ar	nd rendered and original radiogra	nhs must accompany this form	
DATE		SIS TREATMENT	
Attach the following ORIGINALS to this PANO OR FULL MOU (Required first deployment *Date of last Pano or Full Mouth Series	JTH SERIES	BITEWING X-RAYS, SET SHOWING ALL POSTERI (Required every deploymen	OR TEETH
I HAVE THOROUGHLY EXAMINED TREATMENT HAS BEEN PERFORM RADIOGRAPHS WILL ACCOMPAN	MED, ALL EVALUATIONS C	OMPLETED, AND THE APPR	ROPRIATE DIAGNOSTIC
DENTIST'S NAME (PRINT)		DENTIST'S SIGNAT	TURE DATE
TELEPHONE NUMBER (include area	a code)	ADDR	RESS
ATTENTION EXAMINING DENTIST: Please forward completed form, all document of treatment and all ORIGINAL X-rays to	ation	CITY STA	TE ZIP
RAYTHEON POLAR S ATTN: Medical 7400 S. Tuscon Way Centennial, CO 8011 1-800-688-8606 ext 32		USAP USE ONLY: PQ WIN' NPQ	TER REVIEW

NATIONAL SCIENCE FOUNDATION 4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

OFFICE OF POLAR PROGRAMS

Medical Risks for NSF-Sponsored Personnel Traveling to Antarctica

Travel to Antarctica imparts certain risks to the traveler, because of harsh environmental conditions encountered, limitations in the medical care available in Antarctica, and difficulties, in emergencies, of providing timely evacuation to tertiary medical care facilities in New Zealand, South America, or in the United States. United States Antarctic Program (USAP) participants should consider these risks before deciding to deploy to Antarctica.

With no indigenous support infrastructure in Antarctica, virtually all medical care to USAP participants is provided through the USAP medical care system. This includes medical clinic operations at all three year-round stations (McMurdo, South Pole, and Palmer Stations), dispensary operations on the two oceanographic research vessels, and first-aid/first responder support in the larger seasonal remote field camps. The three clinics are comparable to a small community hospital emergency room and ambulatory care facility, but without secondary or tertiary care facilities nearby for patient referral or specialist support. Radiography (X-rays) and selected laboratory tests are available in the clinics, but more sophisticated imaging procedures and diagnostic tests are not. Typical operating room surgical suites are not available at the stations, although each clinic has a triage/trauma room. The USAP does not maintain a frozen blood supply at each station, relying instead on a "walking blood bank" concept (where individual donors could provide fresh blood if transfusions were needed and blood types matched). The evacuation of critically ill or injured patients from Antarctic sites to sophisticated medical care off the continent (to New Zealand, South America, or the United States) is difficult during the austral summer and may be impossible during the austral winter (February through August).

It is important that USAP participants recognize these limitations in medical care while they are in Antarctica. It is, in part, because of these limitations, that the NSF requires medical and dental screening of personnel prior to deployment to Antarctica. These medical screening examinations are necessary to determine the presence of medical conditions that could threaten the health or safety of the individual while in Antarctica. They are also necessary to determine whether medical conditions exist that cannot be effectively treated while the individual is in Antarctica. Persons who fail to meet these medical/dental screening criteria will be notified of the specific reason(s) for their disqualification. Disqualified individuals may request reconsideration by completing a waiver request package (obtained from the NSF's support contractor).

Pre-deployment screening can identify existing medical conditions that may be difficult or impossible to treat effectively in Antarctica. USAP participants should realize that serious accidents or injuries might challenge the medical care system in Antarctica as well. Therefore, individuals should recognize the limitations in the medical care system in Antarctica before they engage in any risk-taking behaviors (whether on-the-job or during recreational pursuits) that may result in accidents or injuries.

Data collected as a result of this medical screening requirement are maintained in accordance with the Privacy Act (5 USC 552a) and protected against unauthorized release, as described in the appended Privacy Notice. The collection of this information must display a currently valid OMB control number. You are not required to respond to the collection of this information unless it displays a currently valid OMB control number.

I have read and understand this information sheet.	
Deing Manne	C:
Print Name	Signature and Date

OMB CONTROL NUMBER 3145-0177: Expires SEP 2007

NATIONAL SCIENCE FOUNDATION

4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

PRIVACY NOTICE

Medical Examination Records for Service in Polar Regions

The National Science Foundation's Office of Polar Programs is responsible for ensuring that all personnel traveling to Antarctica under the auspices of the United States Antarctic Program (USAP) meet certain medical standards, as outlined in 45 Code of Federal Regulations Section 675 (62 Fed. Reg. 31521 (June 10, 1997). This medical screening process requires that certain medical records be generated on each individual participating in the USAP.

The information requested on USAP provided forms is solicited under the authority of the National Science Foundation Act of 1950, as amended, 42 U.S.C. 1870 et seq. It will be used by NSF and its contractors and subcontractors in the medical screening process to determine whether an applicant is qualified for deployment to Antarctica. An individual medical file will include information collected to determine whether one is qualified for Antarctic assignment, as well as clinical files that may be generated if one receives medical treatment in any of the USAP medical clinics in Antarctica or any off-ice treatment facilities arranged by the USAP.

The records are used for three primary purposes: (1) to determine the individual's fitness for Antarctic assignment, including individual waiver requests; (2) to assist in determining an appropriate course of medical/dental treatment should the individual seek medical care with any medical care provider while in Antarctica; and (3) to provide documentation for addressing quality of care issues associated with these medical functions.

Records contained within this system may be released to individuals involved in those three functions. Such individuals include, in addition to designated NSF employees as needed for assigned duties: (a) designated medical care practitioners and their administrative support personnel involved in determining an individual's fitness for Antarctic assignment, including individual waiver requests; (b) medical care providers in NSF-supported stations and field camps in the polar regions where the individual is assigned; and (c) medical experts advising the NSF on quality of medical care issues associated with NSF's polar research programs. In addition to these purposes, information in the medical records may be released to the individual's personal or examining physician or the individual's designated emergency point of contact when disclosure is necessary to determine initial medical clearance or to review treatment options if the individual requires medical attention while on assignment in the polar regions. The determination of whether the individual is physically qualified/not physically qualified (PQ/NPQ) may be released to representatives of the individual's sponsoring organization including academic institutions, and investigators on a grant to inform them whether an individual is approved for deployment or not.

If necessary, information may be released to Federal, state, or local agencies, or foreign governments when disclosure is necessary to obtain records in connection with an investigation by or for the NSF; and to another Federal agency, a court, or a party in litigation before a court or in an administrative proceeding if the government is a party, or when NSF determines that the litigation or anticipated litigation or proceeding is likely to affect the Agency.

Submission of the information requested is voluntary. However, if you fail to provide any of the requested information, NSF or its contractor may be unable to process or to approve your application for polar deployment through the USAP.

More detail about how and where these records are maintained in accordance with the Privacy Act, 5 U.S.C. 552a, is contained in the National Science Foundation's System of Records Notice, Medical Examination Records for Service in the Polar Regions, available upon request from the NSF¹. No disclosure of information contained in your medical file will be made except as described by the NSF's System Notice or as otherwise authorized by law. You may request a copy of your records for review.

¹ For a copy of the System Notice, please contact the OPP Safety and Health Officer at NSF at (703) 292-7438, or write to Safety and Health Officer, Office of Polar Programs, National Science Foundation, 4201 Wilson Blvd., Suite 755, Arlington, VA 22230.

Need a Copy of Your Medical/Dental/Lab Results?

Please send this form back with your medical packet, or by e-mail or fax

E-mail: medical@usap.gov Fax: 303-649-9275

(Please allow up to 30 days to process request)

Name:				DOB:	
	Last	Fi	rst	MI	
		do you require: La (Note: x-rays can			
Year(s) Re	queste	d			
How do you	ı want t	he records sent to	you?		
Direct		Handed directly	to participant		
E-mail		E-Mail Address	<u> </u>		
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U.S. Mail		Address:			
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records as in			ices Medicai Depa	rtment to release co	pies of my
Signature				Date	

PERSONAL INFORMATION

NAME (Last, First, Middle)	SEX	TELEPHONE NUMBERS (include area code)
DEDICAMENT ADDRESS (St. 1 G' 1 G 1 G 1 G 1 G 1 G	☐ M ☐ F	,
PERMANENT ADDRESS (Street, City, State, Zip Code, Country)		RESIDENCE:
		WORK:
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PARENT ORGANIZATION ☐ NSF ☐ OFFICIAL VISITOR EVENT NUMBER	RPSC-FULL-TIME	☐ RPSC-CONTRACTOR
☐ SCIENCE GROUP MEMBER EVENT NUMBER	TECHNICAL EVEN	T NUMBER
Principal Investigator	Company Name	
☐ OTHER	JOB TITLE	
	JOB TITLE (All participants co	mplete for appropriate gear)
DATE OF BIRTH (month, day, year)	PLACE OF BIRTH (city, state	e, country)
U. S. CITIZEN NATIONALITY (if not a U.S. Citizen)	
NAME OF PERSON TO BE NOTIFIED IN CASE OF ACCIDEN	T/DEATH	RELATIONSHIP
ADDRESS	TELEPHONE NUMBER(S)	
TRAVEL INI	FORMATION	
U.S. Departure Date (Estimated)		
Dates in Antarctica (Estimated) FROM:	TO:	
SEASON: WINFLY (August deployment) SUMMER		
CLOTHING INFORMATION	WORK SITE	INFORMATION
HEIGHT: WEIGHT:	Check all that apply. For mul-	tiple sites, note dates next to site.
COAT SIZE: CHEST SIZE:	CONTINENTAL SYSTEM MCMURDO STATIO)NI
SHIRT SIZE (check one):	☐ DRY VALLEYS ☐ SOUTH POLE	JIN
□SMALL □MEDIUM □LARGE □EXTRA-LARGE	OTHER	
WAIST: HID: (waman)	PENINSULA SYSTEM	
WAIST: (women)	☐ PALMER STATION☐ R/V NATHANIEL B	PALMER
NIGEAN () SWOT SIZE	R/V L.M. GOULD	
INSEAM: (men) SHOE SIZE:	OTHER (describe)	
HAT SIZE. CLOVE SIZE.		
HAT SIZE: GLOVE SIZE: Additional Requirements:	Have you previously deployed	I to Antarctica? Yes No
Additional Requirements.	Mastroport	
	Most recent year:	

Original: NSF Contractor Yellow: NSF Contractor Pink: Participant

NATIONAL SCIENCE FOUNDATION 4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

PRIVACY NOTICE

PERSONAL INFORMATION FOR DEPLOYMENT TO AND FROM ANTARCTICA

The National Science Foundation's Office of Polar Programs provides transport and logistical support for individuals traveling to and working in Antarctica under the auspices of the United States Antarctic Program (USAP). The NSF and its contractors and subcontractors will use the information collected on this form to facilitate deployment or redeployment of individuals participating in the USAP.

The information requested is solicited under the authority of the National Science Foundation Act of 1950, as amended, 42 U.S.C. 1870; 16 U.S.C. § 3101. It may be disclosed to Office of Polar Programs civilian contractors and their subcontractors in connection with their responsibilities for coordinating the administrative processing and tracking of persons deploying to Antarctica. These responsibilities include proper outfitting for deployment, facilitating medical clearances, coordinating cargo handling and tracking, and maintaining emergency contacts. It may also be disclosed to: Air National Guard medical personnel to track medical clearances; family members, or other persons designated by the deploying or deployed individual, in instances of emergency; other Federal agencies providing transport, search and rescue, and other logistical assistance to and from Antarctica, including manifest information for pilots or crew transporting individuals to and from Antarctica; other Federal agencies and academic or other organizations when the records are relevant to an agency decision with regard to disciplinary or other administrative actions concerning an employee; another Federal agency, a court, or a party, or when NSF determines that the litigation or anticipated litigation or proceeding is likely to affect the Agency; Federal, state, or local agencies, or foreign governments, when disclosure is necessary to obtain records in connection with an investigation by or for the NSF; and representatives of the New Zealand government or other foreign governments when deployment involves travel through, or use of, New Zealand or other foreign government facilities, and the information is necessary to ensure safe and efficient deployment, including compliance with immigration requirements.

Submission of the information requested is voluntary. However, if you fail to provide any of the requested information, NSF or its contractor may be unable to process or to approve your application for deployment through the USAP.

Public reporting burden for this collection of information is estimated to average less than one-quarter hour per response. Send comments regarding this burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden to: Ms. Suzanne Plimpton, Reports Clearance Officer, Division of Administrative Services, National Science Foundation, Arlington, VA 22230.

NATIONAL SCIENCE FOUNDATION 4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

OFFICE OF POLAR PROGRAMS

IMPORTANT NOTICE FOR PARTICIPANTS IN THE UNITED STATES ANTARCTIC PROGRAM

Participants in the United States Antarctic Program are expected to present themselves in such a manner that their activities and demeanor reflect credit on themselves and their sponsoring organizations. The special circumstances and conditions prevailing in Antarctica require some high standards of conduct.

The potential for mishap in Antarctica is a constant threat. Your ability to deal effectively with a mishap is reduced if you are under the influence of alcohol or other drugs. The National Science Foundation will not condone abuse of alcohol or controlled substances in Antarctica. Unauthorized use or use in excess of such substances will not be tolerated.

The laws of the United States prohibit the possession, shipping or mailing of illegal drugs. In addition, governments in New Zealand and South American countries have strict laws forbidding the possession or transportation through their country of firearms, knives, pornographic materials, marijuana or nonprescription dangerous drugs. These laws are strictly enforced and penalties for violation are severe. For example, in New Zealand the importation of illegal drugs, including marijuana, is punishable by up to fourteen years imprisonment. Letter mail, parcels, and cargo being sent to Antarctica are subject to examination and opening by United States and foreign authorities. For example, all incoming and outgoing mail for McMurdo station transits New Zealand and is subject to interdiction by New Zealand Customs Service through the use of narcotics detection dogs and other direct inspection procedures. Like any traveler, you must abide by local foreign law. If you are found in violation thereof, you are subject to prosecution in the courts of that country. Association with the United States Antarctic Program affords neither preferential treatment nor immunity from prosecution. The New Zealand and Chilean Governments have expressly stated their intention to vigorously prosecute violators.

Conviction for any criminal action under the laws of the United States, or foreign countries may result in your removal from the United States Antarctic Program.

I have read and understand this information sheet.	
Print Name	Signature and Date

EYEWEAR POLICY FOR ANTARCTICA

Everyone in Antarctica is required to wear sunglasses! You are traveling to a part of the world where scientists have documented increased ultraviolet radiation due to depletion in the ozone layer. Snow and ice reflect 85% of Ultraviolet Radiation (UVR) and can cause a serious, painful and disabling condition known as snow blindness. Sunglasses are especially important on windy days to protect against volcanic ash particles and blowing snow in the eyes.

The type of sunglasses you wear while you are in Antarctica is very important. Sunglasses must block 100% of the sun's Ultraviolet Rays. Some dark glasses do not block UVR and cause the iris to widen and admit more light that can cause damage to the eye. Frames must be non-metal to avoid injury to the skin from the cold. Retaining straps are mandatory and side protectors are recommended, but not required.

RPSC provides employees deploying to Antarctica with non-prescription, 100% UV protected, polarized sunglasses as part of the ECW clothing issue. You may bring your own sunglasses, but they must meet the above criteria.

Prescription Eyewear:

If you wear prescription eyewear and choose to wear prescription sunglasses during your deployment, the sunglasses must meet the above criteria. Please obtain a current prescription from your ophthalmologist/optometrist (including pupiliary distance) and bring it with you when you deploy. Eyeglass prescriptions are good for two years.

Contact lenses can be worn in the Antarctic climate. However, if deploying to the South Pole, the dry climate may cause difficulties. It is suggested that you carry your lens on your person to avoid possible damage and/or freezing. Limited lens cleaning supplies are available at the McMurdo, Palmer, and South Pole stores (heat-type is NOT available.)

RPSC will reimburse employees up to \$175.00 for one pair of prescription sunglasses (frames and lenses combined) every other year. RPSC will also reimburse employees up to \$175 for one pair of prescription safety glasses if required for your job. RPSC will NOT reimburse for an eye exam. You must be both medically and Dentally Qualified before you are eligible to be reimbursed. Once you get notified by the Medical Department that you are Physically Qualified please submit your expenses on the RPSC Medical/Dental Expense Reimbursement Form. If you are within 30 days of deploying or currently an active employee you need to submit your expense report online via WebTE.

Please bring two pair of glasses, prescription or non-prescription, in case of damage or loss.

GRANTEES: Accessing Money Once You Arrive in Antarctica or Embark the NBP/LMG

McMurdo Station

McMurdo Station has a Wells Fargo ATM! The Antarctic ATM is exactly like any Wells Fargo ATM you would use in the United States and DOES NOT CHARGE A FEE (but your bank may charge a fee). Personal checks (from U.S. banks) are accepted at the Disbursing Office, for up to \$500 per calendar month. The McMurdo Station Store accepts Visa and Master Card.

South Pole and Palmer Stations

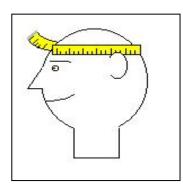
There is no ATM available at the Palmer and South Pole Stations. The Palmer Station Store accepts Visa and Master Card. The Finance/Human Resources Representative will cash personal checks (from U.S. banks) for up to \$500 per calendar month. Transactions can also be made by cash or travelers cheques in the summer.

R/V Nathaniel B. Palmer and R/V Laurence M. Gould

You will have access to the facilities available at foreign ports but you should plan ahead and bring a supply of cash and travelers cheques.

**ADDITIONAL INFORMATION IS PROVIDED IN THE UNITED STATES
ANTARCTIC PROGRAM PARTICIPANT GUIDE**

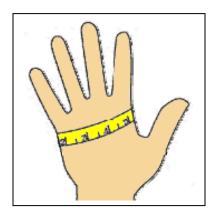
How to Measure Hat Size



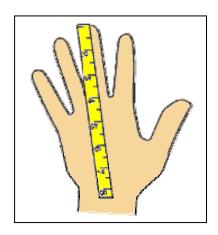
To measure hat size accurately, just wrap a tape measure (or a string from which you can take measurements while laying it flat against a ruler) around your head where you like to wear a hat. Take the number and compare it to the top entry of the table below. If your head measurement falls between two blocks, select the larger one.

Measurement	20-1/2	20-3/4	21-1/8	21-1/2	21-7/8	22-1/4	22-5/8	23	23-1/2	23-7/8	24-1/4	24-5/8
Head Size	6-1/2	6-5/8	6-3/4	6-7/8	7	7-1/8	7-1/4	7-3/8	7-1/2	7-5/8	7-3/4	7-7/8
Alpha												
Size	Х	S		S	I N	/	I	L	Х	L	XX	(L

How to Measure Glove Size



Measure (in inches) around your hand with a tape measure across your palm at the fullest part (exclude thumb). You should measure your dominant hand; the right if you are right-handed, and the left if you are left-handed. Measure from the tip of the middle finger to the base of the hand. Use the LARGEST of these two measurements for the correct size glove. The number of inches measured equals the size of the glove (example: a 7" measurement equals a size 7 glove).



	GLOVE SIZES									
	XS S M L XL XXL									
inch	inch 7 7½ - 8 8½ - 9 9½ - 10 10½ - 11 11½ - 12									
cm	18	20	23	25	28	30				

McMurdo Station, Antarctica

HOUSING REQUEST WORKSHEET

Following the McMurdo Housing Guidelines, the RPSC Housing office provides housing for all participants residing in or passing through the station. Your input will assist Housing in making room assignments. Please complete this form and return it with your deployment packet to RPSC, DSG, 7400 South Tucson Way, Centennial, CO 80112-3938.

Due dates: July 15th for WINFLY/August 15th for summer season

Name:				Gender:	Male	Female
Last	First		Nickname			
Number of previous	ous months with USAP:	i				
Roommate reques	st:*		Is this person yo	our spouse/p	oartner?	? Yes No
	manent McMurdo resident mate requests may not be h					ver 15 days
You are a (please	e circle one): Grantee	Full-time	Employee Contrac	t Employee	Sub-	Contractor
Please select Y	or N for each of the folio	wing cat	egories:			
Smoker: Y N	Snorer: Y N	Pre	efer tidy room: Y N	Sta	y up lat	e: Y N
Non-drinker: Y	N TV-watch	ner: Y	N Quiet pe	rsonality:	Y N	
Sensitive to perf	fumes/other odors: Y	N	Prefer cool roon	n temperatu	re: Y	N
requests may not						
•	sts/Writers and Sub-Co al Investigator or Co-Princ					
	th of stay in McMurdo:	•	•	_ vone nam		
	pproximate dates you will			licable:		
ALL OTHERS, pl	ease fill out this sectior	າ:				
Department and J	ob:					
Contracted to wor	k mainly at: McMurdo	South P	Pole Field Camp			
Will you be working	ng nights? Yes No	Unknown	1			
Do you have a wir	nter contract? Yes No	0				
For RPSC use only:						
LIDE	S. A. S.		1161	m . 1 II	ъ.	

PALMER STATION, ANTARCTICA

HOUSING REQUEST WORKSHEET

The Palmer Station Manager and Admin assign housing for all RPSC employees and Grantees scheduled to reside at Palmer Station. To facilitate your housing assignment, the following information is extremely helpful.

Please understand that we cannot guarantee a specific roommate or room assignment. Also, keep in mind that rooms at Palmer are all two-person rooms and that they are fairly small.

Please complete this form and return it to RPSC, DSG, 7400 South Tucson Way, Centennial, CO 80112-3938.

Name:			Gen	der: Male / Female
Last	First	MI	-	
Are you a Principa	al Investigator o	r co-Principal I	nvestigator?	Yes No
Age Range: Pleas	e Check One:			
18-24	25-29	30-35	36-40	41+
Roommate Reque	sted:			
Is Roommate You				
Room or Building	Requested (GW	'R or BIO):		_
If Known, Do You	Snore? Yes / No	·		
Are You A Smoker permitted in designa		`	is prohibited in de	orm rooms. Smoking is
Are You Planning (Note: You must pro			e "Backyard"? Y	'es / No
Previous Number	Of Months On Id	ce:		
Last Season On T	he Ice:			
	go to sleep at nig	ght? Are you a	light sleeper? Do	e Helpful: (i.e., What you prefer a dark room? e you messy/neat?)

Christchurch and Lyttelton New Zealand: Accommodation List (New Zealand Currency Rate)

Location	Hotel Name	Address	Phone	Single	Twin	
B&B - City	Croydon House B & B	63 Armagh St	(03) 366 5111	\$127.30	\$163.65	**
	Devon B & B	69 Armagh St	(03) 366 0398	\$125.00	\$165.00	**
	Grange Guest House, The	56 Armagh Street	(03) 366 2850	\$95.00	\$130.00	**
	Home Lea B & B	195 Bealey Ave	(03) 379 9977	\$100.00	\$135.00	**
	Windsor B & B	52 Armagh St	(03) 366 1503	\$80.95	\$116.40	^^
Backpackers	Akron Lodge Backpackers	85 Bealey Ave	(03) 366 1633	\$35.00	\$60.00	
- City	Base Backpackers	56 Cathedral Square	(03) 982 2225	\$60.00	\$60.00	
	Boulevard Backpackers	Cnr Worcester St / Latimer Square	(03) 377 0550	\$60.00	\$60.00	
	Charlie Bs Backpackers	268 Madras Street	(03) 379 8429	\$40.00	\$54.00	
	Coachman Backpackers	144 Gloucester Street	(03) 377 0908	\$65.00	\$75.00	
	Excelsior Backpackers	Crn Manchester and High Street's	(03) 366 7570	\$45.00	\$60.00	
	Living Space - The Mill	96 Lichfield Street	(03) 963-0202	\$89.00	\$89.00	
	Stonehurst Hotel/Backpackers	241 Gloucester St	(03) 379 4620	\$60.00	\$70.00	
	Thomas's Hotel	36 Hereford Street	(03) 379 9536	\$80.00	\$85.00	
	YHA Christchurch Central City	273 Manchester Street	(03) 379 9535	\$60.00	\$75.00	
	YMCA	12 Hereford St	(03) 365 0502	\$48.00	\$60.00	
Hotel	Copthorne Commodore Hotel	449 Memorial Ave	(03) 358 8129	\$146.25	\$146.25	
- Airport	Outrigger at Clearwater Resort	Clearwater Ave, Harewood	(03) 360 1000	\$135.00	\$135.00	
•	Russley Hotel	73 Roydvale Ave	(03) 358 6500	\$104.63	\$104.63	
	Sudima Hotel	Cnr Memorial Ave/Orchard Road	(03) 358 3139	\$121.50	\$121.50	
Hotels - City	Bealey's Hotel	263 Bealey Ave	(03) 379 8660	\$85.00	\$85.00	
riotois - Oity	Camelot Cathedral Square	66 Cathedral Square	(03) 379 8888	\$95.00	\$95.00	
	·	776 Colombo Street		\$146.25	\$146.25	
	Conthorne Central		(03) 379 5880			
	Copthorne Durham Street	Cnr Durham and Kilmore St	(03) 365 4699	\$157.50	\$157.50	
	Crowne Plaza Hotel	Cnr Durham & Kilmore Streets	(03) 365 7799	\$170.00	\$170.00	
	George Hotel, The	50 Park Tce	(03) 379 4560	\$190.13	\$190.13	
	Heritage Hotel	28-30 Cathedral Square	(03) 377 9722	\$164.25	\$164.25	
	Holiday Inn City Centre	Corner of Cashel and High Street	(03) 365 8888	\$125.00	\$125.00	
	Holiday Inn on Avon	356 Oxford Tce	(03) 379 1180	\$115.00	\$115.00	
	Hotel Grand Chancellor	161 Cashel Street	(03) 379 2999	\$151.88	\$151.88	
	Latimer Hotel	30 Latimer Square	(03) 379 6760	\$123.75	\$123.75	
	Millennium Hotel	14 Cathedral Square	(03) 365 1111	\$174.37	\$174.37	
	Quest Christchurch	Cathedral Junction, Worcester Street	(0)3) 964 6200	\$139.86	\$139.86	
	Rydges Hotel	Cnr Worcester St/Oxford Tce	(03) 379 4700	\$151.88	\$151.88	
	Warners Historic Hotel	50 Cathedral Square	(03) 366 5159	\$120.00	\$160.00	**
Apartments	Cashel Apartments	87 Cashel Street	(03) 365 4220	\$194.63	\$194.63	
	Chateau Blanc Suites	Cnr Kilmore & Montreal St	(03) 365 1600	\$146.25	\$146.25	
Refer to the	Fino Casementi	87-89 Kilmore St	(03) 366 8444	\$198.00	\$247.50	**
Accommodation	Heritage Suites	28-30 Cathedral Square	(03) 377 9722	\$193.50	\$193.50	
Link below to	Outrigger at Clearwater Resort	Clearwater Ave, Harewood	(03) 360 1000	\$205.00	\$205.00	
view individual	Poplars Apartments, The	Cnr Madras Street/Chester Street	(03) 365 4220	\$171.00	\$171.00	
information for "Long Stay" Rates	Quest Christchurch	Cathedral Junction, Worcester Street	(03) 964 6200	\$158.84	\$158.84	
Long Stay Rates	West-Fitzroy Apartments	66 Armagh Street	(03) 372 3408	\$135.00	\$135.00	
Llatala		<u> </u>			•	
Hotels	Chateau on the Park	189 Deans Ave. Riccarton	(03) 348 8999	\$150.75	\$150.75	
- Other	Cotswold Hotel	88 Papanui Rd, St Albans	(03) 355 3535	\$123.75	\$123.75	
	Elms Hotel	456 Papanui Rd, Papanui	(03) 355 3577	\$97.88	\$97.88	
	Garden Hotel	108 Marshlands Road, Shirley	(03) 385 3132	\$80.00	\$85.00	
	Kingsgate Hotel Autolodge	72 Papanui Road, St Albans	(03) 355 6109	\$123.75	\$123.75	
	Quality Hotel Pavilions	42 Papanui Road, St Albans	(03) 355 5633	\$129.38	\$129.38	
	Riccarton Village Inn	110 Mandeville St, Riccarton	(03) 348 5049	\$79.00	\$89.00	
Motel	Aarburg Airport Motel	94-98 Roydvale Ave	(03) 358 8122	\$89.00	\$99.00	
- Airport	Airport Delta Motel	61 Roydvale	(03) 358 0969	\$117.00	\$117.00	
•	Airport Gateway Motor Lodge	45 Roydvale Ave	(03) 358 7093	\$115.00	\$115.00	
•	in port outonay motor Lougo			#10F 00	\$105.00	
·	Airport Lodge Motel	105 Roydvale Ave	(03) 358 5119	\$105.00	\$105.00	
	Airport Lodge Motel	105 Roydvale Ave 87 Bealey Ave	(03) 358 5119 (03) 366 1633			
	Airport Lodge Motel Akron Motel	87 Bealey Ave	(03) 366 1633	\$89.00	\$99.00	
	Airport Lodge Motel Akron Motel Bella Vista Motel	87 Bealey Ave 193 Bealey Ave	(03) 366 1633 (03) 377 3363	\$89.00 \$98.00	\$99.00 \$120.00	
Motel - City	Airport Lodge Motel Akron Motel	87 Bealey Ave	(03) 366 1633	\$89.00	\$99.00	**

Motel - Other	Adelphi Motel	49 Papanui Rd, St Albans	(03) 355 6037	\$95.00	\$95.00	
	Alcala Motor Lodge	100 Sherborne St, St Albans	(03) 365 8180	\$95.00	\$120.00	
	Alexandra Court Motel	960 Colombo St, Edgeware	(03) 366 1855	\$90.00	\$108.00	
	Alglenn Motel	59 Papanui Road, St Albans	(03) 355 7010	\$95.00	\$105.00	
	City Park Lodge	22 Riccarton Rd, Riccarton	(03) 348 0909	\$85.00	\$89.00	
-	Towers Motor Inn, The	Cnr Deans and Kilmarnock, Riccarton	(03) 348 0613	\$109.00	\$109.00	
Lyttelton	Dockside Accommodation	22 Sumner Road	(03) 328 7344	\$100.00	\$100.00	
	Empire Hotel (Backpackers)	9 London Street	(03) 328 8202	\$50.00	\$50.00	
	Harbour Lodge B & B	1 Selwyn Road	(03) 328 7755	\$120.00	\$150.00	**
	Royal Hotel (Backpackers)	34 Norwich Quay	(03) 328 7020	\$40.00	\$70.00	
	Tunnel Vision (Backpackers)	44 London Street	(03) 328 7576	\$48.00	\$48.00	

All prices are current as of 01 June 2006, in NZ dollars, including tax and are subject to change

TO CALL NZ, DIAL 011 643 and 7-digit HOTEL NUMBER

Punta Arenas, Chile : Accommodation List

(U.S. Currency Rate)

HOTEL		ADDRESS	PHONE	FAX	SINGLE	DOUBLE	TRIPLE	SUITE
CONDOR DE PLATA	COLON	COLON AVENUE #556		241149	45	55	65	
SAVOY	JOSE M	ENENDEZ #1073	247979	247979	60	76	102	
TIERRA DEL FUEGO	COLON	AVENUE #716	226200	226200	70	75	80	
LOS NAVEGANTES	JOSE M	ENENDEZ #647	244677	247545	70	80	100	
FINIS TERRAE	COLON	AVENUE #766	228200	248124	ST SUP			130
					88 -105	105	121	145
JOSE NOGUEIRA	BORIES	s #99	248840	248832	67	81		123
ISLA REY JORGE	21 DE M	21 DE MAYO #1243		248220	70	80	100	110
CABO DE HORNOS	PLAZA MUÑOZ GAMERO #1025		715000	715050	110	127	176	
HOSTAL		ADDRESS	PHONE	FAX	SINGLE	DOUBLE	TRIPLE	SUITE
CALAFATE		MAGALLANES #926	241281	241281	S/B P/B	S/B P/B	S/B P/B	
					24 36	38 46	43 63	
ORO FUEGUINO		FAGNANO #356	246677	249401	38	46	55	
LA AVENIDA		COLON AVENUE #534	247532	247523	50	55	60	
NENAS		BOLIVIANA #366	242411		USD 10 PE (NOT SING ROOMS)	R PERSON LE		
O'HIGGINS	O'HIGGINS		227999		(SHARED	USD 15 PER PERSON		R M)
FAGNANO		FAGNANO # 561	248648		25	35		
GUISANDE		J.M.CARRERA #1270	243295		USD 30 PE	R PERSON		
TATTY'S HOUSE		MAIPU #1070	241525		29	43	54	
SOUTH PACIFIC		ERRAZURIZ # 860	09- 1542969		USD 25 PE	R PERSON		

NOTES: 1) THE ABOVE PRICES ARE SPECIALLY RATED FOR AGUNSA'S PASSENGERS

- 2) THESE PRICES DO NOT INCLUDE 19% CHILEAN TAX. (FOREIGN PASSENGERS DO NOT PAY THIS TAX)
- 3) PRICES RUN FROM MARCH 06 TO SEPTEMBER 06
- 4) SB (SHARED BATHROOM) PB (PRIVATE BATHROOM)
- 5) TO CALL CHILE, COUNTRY/CITY CODES = 011 56 61 AND THEN THE HOTEL PHONE NUMBER ABOVE

NOTE: PLEASE ENTER YOUR HOTEL REQUEST ON YOUR TRAVEL PAPERWORK

YOU CAN VIEW ADDITIONAL HOTEL INFORMATION AT

http://www.usap.gov/travelanddeployment

^{**} Denotes Breakfast included in Rate

GRANTEE DEPLOYMENT TRAVEL REQUEST WORKSHEET (TRW)

This form should be returned eight (8) weeks before the scheduled departure date.

All tickets will be purchased a minimum of fourteen (14) days in advance.

Raytheon Technical Services Company Polar Services Attn: Deployment Specialists Group 7400 South Tucson Way Phone:800 303 Email:			05-0742 88-8606 ex 90-8606 ex yment@us	xt 33202	Prin	ncipal Inve nt #: Frequent Flyer	stigator:	
Please prin	t clearly							
Name:		Home Ph	ione:					
Exactly as it appears	s on Passport		Business	Phone:	()		
Zhaony as it appears	, on russport		Cell Pho	ne:	()		
Airmand of Domestic (AOD) (A : /C:4/C4	4->	E-mail A	ddress:				
Airport of Departure (AOD) (A	Airport/City/Sta	ite)		_				
Emergency Contact Name and Ph	none Number						Boxes not acce	
. 8			13 1113 4 1	CSIGCITUAL	addi	CSS. ILD		J
DO YOU HAVE A PASSPOR		No 🗆						
Passport expiration date:								
Passport country of issue:								
	NON ADDANCE	AFRIDG (NOI		OVIDE D			VENTE A DDD OX	
REQUESTED TRANSPORTAT	ION ARRANGE!	MENIS: (YOU	MUSI PR	OVIDE K	EIUI	KN DATE E	VEN IF APPROX	IMA I E.)
From City/State and/or Airport	To City/State or	r Country	*Date	ETD		ETA	Seating Requests	Special Meal
				Earliest/lat	test	Earliest/latest		Requests
				•		•		
				•		•		
				•		•		
Any necessary visa should be obtained befo	ore leaving the U.S. by	y contacting the e	mbassies of t	he countries	s to be	visited. Failur	e to do so may comp	licate or delay
our travel. The U.S. Antarctic Program d			obtaining vi	sas.			_	
Vessel Demonture Detail							r to requested date from AOD to Chr	
Vessel Departure Date: Arrival Date at McMurdo Station			NZ and t	hree (3) da	ays pri	or to requeste	d date of departure	e to
Arrival Date at South Pole Station						from AOD to	Punta Arenas, Ch	nile, or
**Arrival dates must be coordinated with			vessel departure date. All travel arrangements are made in accordance with the Federal					
Arrival Date at Palmer Station:	the South Fole Asst.	Area Manager	Acquisition Regulations (FAR) and in the best interest of the U.S.					
Annvai Date at Familier Station			Government.					
All business stops must be approved								
or meetings are planned during deplo any additional costs incurred due to								
excess baggage is required. The Ex	ccess Baggage Requ	uest form and/or	the USAP I	Participant	Guide	e 2004-2006 p	provides further ins	structions
regarding excess baggage. If you pla								
original, individually assigned New 2 8606 ext. 2 or 303-790-8606 ext. 332		rm for your nand	a-carry item	s. Contact	KPSC	DSG for fur	ther instructions a	1 800-088-
HOTEL REQUESTS: (Christch		Arenas, Chile hotel	l suggestions	are listed on	the H	otel List: RPSC	form: DS-A-100d)	
Check in date								
☐ Christchurch, NZ								
Contact phone number/address if								
1st Choice of Hotel								
☐ 2 nd Choice of Hotel				W	/ılling	to Dorm?	⊔ Yes ⊔ No	
☐ No Hotel Preference							,	
Roommate						ds 🗆 Doub		
☐ NO HOTEL REQUIRED Loc	al contact phone	number if no h	otel requir	ed				

Raytheon Technical Services Company Polar Services

Excess Baggage Request

Return this form to: RPSC, Attn: DSG, 7400 South Tucson Way, Centennial, CO 80112-3938

Name:(As identified on your Passport) Affiliation (RPSC or Event #)		Peninsula (Punta Arenas, Chile) Continental (Christchurch, NZ) Other		
Commercial Carrier Baggage Allowances Domestic flights allow two 50 lb checked bags, and international flights allow two 70 lb checked bags. If you plan to check in more than two pieces for your commercial airline flight from your airport of departure (AOD) to Christchurch, Punta Arenas, or other destination, please complete the form below. If excess bag(s) are not requested, RPSC will not be responsible for the cost. Please contact RPSC Travel directly for oversized/overweight excess baggage which must be sent through the USAP cargo system. The airlines will not accept bags weighing over 70 lbs. Use one line for each piece of excess baggage requested and attach additional sheets if needed. If traveling with high value/high tech goods through New Zealand, a Customs form is required. Please check here if you plan to travel with these goods through New Zealand. RPSC Travel will contact you regarding the details for the Customs form. This form will be sent to you with your tickets. If all excess baggage forms are not used, they are to be returned to RPSC Travel.				
The NSF will review all Grantee requests for excess baggage.				
(Refer to the USAP Participant Guide for additional information.) Excess Baggage Request from Airport of Departure to Antarctica				
Southbound Item #	Weight	Contents/Justification		
Standard checked luggage for flights from Christchurch to Antarctica is 34 kg (75 lb.) of personal luggage for Summer Participants and 66 kg (145 lb.) for Winter Participants. This includes approximately 9 kg (20 lb) of ECW. About half of this will be worn on the flight south. Plan that 4.5 kg (10 lb) of your baggage weight limit will be used by ECW gear.				
Requester:		Date:		
Supervisor/POC/P.I.:		Date:		
Division Director:		Date:		
(Please provide for RPSC Employees only) Charge Code: R-PS				

Raytheon Technical Services Company

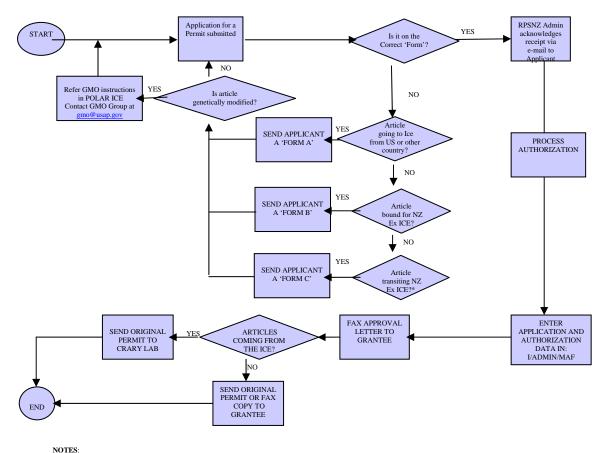
Polar Services

PERMITS

Individuals and groups traveling to Antarctica are responsible for obtaining any and all required permits. An initial assessment of permit needs should be made by the individual (or group) based on planned itinerary, the nature of interactions with wildlife, materials to be handled and shipped to or from Antarctica, and the need to enter Antarctic Specially Protected Areas.

The National Science Foundation (NSF), the National Marine Fisheries Service (NOAA/NMFS), U.S. Department of Agriculture (USDA), U.S. State Department (DOS), New Zealand Environmental Risk Management Authority (ERMA), and the New Zealand Ministry of Agriculture and Forestry (MAF) have regulations governing the taking of marine mammals, plants, introduction of non-indigenous species, importation and exportation, transshipment of specimens, genetically modified organisms (GMO), and research vessel clearances for work in foreign exclusive economic zones.

The following flowchart outlines New Zealand permit processing.



OIES.

 $[\]ensuremath{^{*}}$ Articles transiting NZ on the vessel that are not being landed do not require a permit.

Environmental Risk Management Authority (ERMA)

Definition of Genetically Modified Organism

Under the Hazardous Substances and New Organisms (HSNO) Act of 1996, a Genetically Modified Organism is any organism in which any of the genes or other genetic material have been modified by in vitro techniques or are inherited or otherwise derived through any number of replications, from any genes or other genetic material which has been modified by in vitro techniques.

The New Zealand Environmental Risk Management Authority (ERMA) controls the movement of new and genetically modified organisms into and through New Zealand.

If any of your specimens/samples meet the ERMA definition of a Genetically Modified Organism (above), please complete the attached questionnaire (ERMA Application) and forward it to the **GMO Group** at Raytheon Polar Services (NZ), gmo@usap.gov. Due to the time required to process applications to carry GMOs, notification must be given at least 12 weeks prior to deployment.

PERMIT	LEAD TIME
Antarctic Conservation Act (ACA)	12 weeks
Marine Mammal Protection Act (MMPA)	32 weeks
U.S. Department of Agriculture Permit	16 weeks
New Zealand Ministry of Agriculture and Forestry Form A	4 weeks
New Zealand Ministry of Agriculture and Forestry Form B	4 weeks
New Zealand Ministry of Agriculture and Forestry Form C	4 weeks
New Zealand Environmental Risk Management Authority (ERMA)	12 weeks



Request to amend existing approval TNS02003 to allow transhipment of new organisms (including Genetically Modified Organisms (GMO)) through New Zealand

under Section 51 of the Hazardous Substances and New Organisms Act 1996

The HSNO Act defines Transhipment as: "The importation into New Zealand of a hazardous substance or now organism solely for the purpose of export within 20 working days to another destination outside New Zealand".

Please note this request can only be used to add organisms to Annex 1 of the existing transhipment approval TNS02003. Should this addition not be considered a 'minor in effect change' by ERMA New Zealand due to the characteristics of the organism a new transhipment approval will be required.

1. Provide in this box a summary of the purpose for making the application.			
Please provide a brief background of approximately 200 words outlining the purpose of the transshipment (e.g organism X is required to complete a study of the feeding habits of protozoa in Antarctica).			
Organism details			
Organism details			
Organism details 2. The identification of the organism:			
2. The identification of the organism:			
2. The identification of the organism: This should include all information necessary to identify the organism and should include:			
 2. The identification of the organism: This should include all information necessary to identify the organism and should include: Latin binomial, including full taxonomic authority; If the Latin binomial is not known a means by which the organism can be identified must be provided (for 			
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3.	If the organism is a genetically modified organism, information on the details of the genetic modifications should be provided:	
	Please note the definition of a genetically modified organism under the HSNO Act is any organism in which any of the genes or other genetic material have been modified by in vitro techniques or are inherited or otherwise derived through any number of replications, from any genes or other genetic material which has been modified by in vitro techniques	
	This information shall include full details of the genetic constructs and modifications and the source and characteristics of the foreign nucleic acid. Applicants should also comment on the ability of the organism to be adequately contained in the transitional facility and whether or not the modification increases the potential of the organism to escape containment.	
	Information that is commercially sensitive should be clearly identified.	
	Please supply copies of any referenced scientific papers.	
	Sufficient information should be provided to allow ERMA New Zealand to make a summary statement of the modification (for example pBBR122 plasmids containing a transgenic construct composing promoter sequence from <i>rpsL</i> gene (<i>Bacillus subtilis</i>), fluorescent protein reporter genes derived from invertebrates (either GFP (Green), CFP (cyan), RFP (red), YFP (yellow) or their derivatives), and <i>rrnBT1T2</i> transcription termination sequence (<i>E. coli</i>); and a chloramphenicol resistance gene).	
4.	Antarctic Conservation Act Application and Permit Form:	
	If the application involves the importation of organisms/samples into Antarctica applicants need to supply a copy of the Antarctic Conservation Act Application and Permit Form obtained from the United States National Science Foundation.	
Signature of researcher wishing to tranship organism Date:		
Plea	ase note:	

ERMA New Zealand requires that a completed request be received at least 15 working days prior to transhipment occurring. Requests received less than 15 working days prior to transhipment occurring may incur an additional fee and processing may not be possible prior to desired transhipment date. If request are considered lacking in sufficient information the application may be declined on this basis and a new request with the required information submitted.



United States Antarctic Program Information Security Awareness

User Information Booklet

Prepared for the National Science Foundation / Office of Polar Programs by Raytheon Polar Services Company 7400 South Tucson Way Centennial, CO 80112

> RPSC-05-500 Release 3.0

Welcome to the United States Antarctic Program (USAP),

This booklet includes a summary of the USAP Information Security Awareness Program and a copy of the USAP Enterprise Rules of Behavior (EntROB) that govern personal behavior when using USAP information systems.

In accordance with Federal law, the National Science Foundation (NSF) is required to ensure that all USAP participants receive, understand, and acknowledge training on USAP policies related to Information Security. This material is designed to meet these requirements while providing you the required information in a succinct format to maximize the efficient use of your time while in the USAP.

For more detailed information, USAP-specific policies and guidelines can be reviewed by visiting the Information Security section within the USAP website (http://www.usap.gov/technology).

If you have any questions about the enclosed information, or desire additional copies of the booklet, please contact the USAP Help Desk at 720-568-2001 or helpdesk@usap.gov.

Thank you for your participation.

National Science Foundation Office of Polar Programs



NSF Perspective on Information Security

Telecommunications and network access at all USAP stations is provided by the National Science Foundation (NSF), an agency of the United States Federal Government.

As a government agency, NSF's Information Systems Security Program must comply with all applicable laws, OMB circulars, Presidential Decisions Directives, and other regulations and guidance related to Federal Information Systems security. A key requirement is ensuring that NSF's Information Systems Security Program complies with all Federal Information Security Management Act (FISMA) requirements.

FISMA requires an agency implement an agency-wide information security program that includes "security awareness training to inform personnel, including contractors and other users of information systems that support operations and assets of the agency, of information security risks associated with their activities; and their responsibilities in complying with agency policies and procedures designed to reduce these risks."

This Information Systems Security briefing is designed to meet the FISMA requirement for users of National Science Foundation information systems.

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¹ Section 301 FISMA 3544 (b) (4)



Why Is Information Security Awareness Important?

The United States Federal government requires mandatory periodic security awareness briefings for all Federal Information Technology (IT) system users, including contractor personnel, military personnel, and science grantees.

Information Security is a responsibility of and affects all users of the USAP infrastructure, not just the IT staff. Annual awareness briefings, supplemented by periodic reminders, keep all users cognizant of major security issues.

What is Information Security?

Information Security is much more than keeping hackers and viruses out of your computer. There are three key elements of Information Security known as the Information Security Triad:

Element	Focus	Example
Confidentiality	Ensuring information is protected	Privacy Act and medical
	from unauthorized access or	information collected
	disclosure.	when participants go
		through medical
		screenings for
		deployment.
Integrity	Ensuring information is protected	Science grant information
	from being changed inadvertently	collected to support a
	or by unauthorized individuals.	grantee on the Ice.
Availability	Ensuring information resources	Fully operational email
	are protected so they can be	and ensured availability of
	utilized when needed.	bandwidth.



What is your role in Information Security?

As a user of USAP IT resources, you play a critical role in ensuring that information resources are protected to meet the elements of the Information Security Triad. During your day-to-day operations, you can best meet these duties by doing the following:

- Be proactive: Adopt good security best practices as described later in this booklet.
- Be a learner: Understand security threats that affect your environment.
- Seek help and advice: Utilize Information Security representatives to fully understand how you can help maintain a secure environment.
- Report Incidents: Immediately report actual or suspected information security incidents, or any incidents of suspected fraud, waste, or misuse to your local on-lce or vessel IT support function, or the USAP Help Desk at helpdesk@usap.gov and 720-568-2001. The USAP Help Desk will forward it to the appropriate information security staff.

REMEMBER

A security program is only as strong as its weakest link.



Threats to Information Resources

There are many types of information resources that Information Security practices are designed to protect. Some of these resources include bandwidth, medical records and reports, as well as science and personal information. The threats to these resources are very diverse.

What threats does Information Security protect against?

Information Security protects against internal and external threats.

Internal Threats	External Threats	
 Accidental / intentional loss or change of data Fraud, waste and abuse Disgruntled users Unethical behavior 	 Natural disasters (flood, storm damage, fire) Criminal events (robbery, arson) Information-focused attacks (hackers) 	

Besides hacking, how are threats manifested?

You should be aware that external threats can take can on methods much more cunning than pure network or computer hacking. Today there are increasing reports of identity theft, phishing, and social engineering. Each of these threats is defined below:

Threat	Focus	Example
Identity Theft	Theft of identity information that could be used to compromise personal financial resources (bank accounts, credit cards, stock brokerage accounts, etc).	Spyware loaded on a user's computer that captures a user's SSN or bank account number transaction.
Phishing	The act of sending an email to a user and falsely claiming to be an established legitimate enterprise in an attempt to deceive the user into surrendering private information that will be used for identity theft.	Email imitating the appearance of an official email from the user's bank and asking the user to verify his account information by clicking on the URL provided in the email.
Social Engineering	The acquisition of sensitive information or inappropriate access privileges by an outsider, based upon the building of an inappropriate trust relationship with insiders.	A user getting a phone call from someone representing themselves as calling from the local IT department and asking for the user to provide his password in order to conduct a test.



Acceptable Uses of USAP Resources

USAP Policy 5000.6, *Acceptable Use of USAP Information Resources*, provides guidance on acceptable and prohibited uses of USAP resources and should be referred to when determining if a practice is acceptable or prohibited.

What are the acceptable uses of USAP IT resources?

The following list includes examples of acceptable uses of USAP resources. All uses are subject to risk assessments and NSF rules.

- Personal email Not to interfere with mission.
- Personal Internet Not to interfere with mission.
- Recreational web browsing Not to interfere with mission; no downloads of prohibited material.
- Instant messaging Not to interfere with mission and subject to controls to prevent bandwidth congestion and the introduction of harmful viruses.
- Personal encryption Users may employ available encryption methods at their own expense on their non-USAP system when using the government's information infrastructure. Encrypted communications are still subject to monitoring and other authorized auditing actions. As a condition of use, users may be required to surrender their encryption key to appropriate NSF or law enforcement officials to assist in authorized investigative activities.
- Third party software Subject to management approval, users may install third party software, including freeware and shareware, when the software is required to support their work responsibilities. Users must possess a valid license for all third party software installed on government information systems assigned for their use. Prior to installation, users must use antivirus tools to ensure the software is free of viruses. If the third party software is discovered to be the cause of system errors or other problems, it will be removed.
- Personal business such as online banking, shopping, etc. that does not interfere with mission.



Prohibited Uses of USAP Resources

What are the prohibited uses of USAP IT resources?

Users **will not** engage in prohibited activities. Network and share drives are monitored for violations. IT Station Managers have the authority to further restrict non-mission activities that have an impact on the infrastructure. Prohibited activities include:

- No illegal activities
- No activities that can harm the infrastructure
- No classified information
- No downloading pornographic, sexist, racist or threatening material
- No email chains or email broadcasts
- No personal servers for email, web, ftp, telnet, or similar applications. All servers, science project or operational program participants, must be in Research Support Plan and/or be approved by established USAP Configuration Management processes and the NSF
- No chat room or newsgroup hosting inside USAP network
- No political campaigning
- No network gaming activities
- No hosting of personal e-commerce or non-program business activities
- No network monitoring tools
- No unauthorized wireless access points
 - Wireless access points, wireless routers, switches/hubs, and other network infrastructure are not authorized for personal use.
 - Wireless access points, wireless routers, switches/hubs and other network infrastructure for official business use must be approved by established Configuration Management processes and the NSF.
- No violation of U.S. or international copyright laws, particularly digital media
- No peer-to-peer (P2P) applications, unless it is essential for official business purposes and has been approved by USAP Configuration Management processes and the NSF



Why Peer-To-Peer Applications Are Prohibited

What is Peer-To-Peer (P2P)?

Peer-To-Peer (P2P) is a method of exchanging files between computers without the use of a centralized server. P2P allows users who want access to files and information to interact directly with each other and to share information without the intervention of a server. It is commonly used to anonymously exchange media and software. Examples include KaZaA, BearShare, LimeWire, and Morpheus.

What are the dangers and risks of P2P?

Peer-To-Peer undermines network security by circumventing firewalls, intrusion detection systems, and perimeter-based antivirus software. Certain NSF systems are allowed to use P2P but *only* under controlled and approved configurations.

Specific risks associated with P2P include:

- High bandwidth consumption
- Lawsuits by Recording Industry Association of America (RIAA), Business Software Alliance, etc. over copyright violations
- Copying and sharing of inappropriate or copyrighted material
- Viruses, SpyWare, Trojan horses

What is not P2P?

Instant Messaging (e.g., MSN Messenger, AOL Instant Messenger) and Group meeting software (e.g., WebEx, Centra, MS NetMeeting) are **not** considered P2P.

What should I do if P2P applications are installed on my computer?

P2P applications are difficult to remove, as they modify registry values and have many associated "adware" programs. Local IT technicians at USAP locations will assist with removal of P2P applications and can be requested by sending an email to helpdesk@usap.gov.



Copyright Infringement

Federal law prohibits the unauthorized copying, sharing, or distribution of copyrighted materials (music, video, software, etc.) and these activities are strictly prohibited on USAP resources.

What are examples of strictly prohibited activities?

Strictly prohibited activities include:

- Illegal MP3 copying, sharing, or distribution
- Illegal DVD copying, sharing, or distribution
- Illegal video copying, sharing, or distribution
- Illegal music CD copying, sharing, or distribution
- Illegal software copying, sharing, or distribution

This policy is not intended to prohibit the legal purchasing of music or video entertainment (within bandwidth restrictions).

Am I accountable if I conduct these activities?

In the past, some USAP participants have illegally copied, shared, or distributed music, video, software, etc. using USAP IT resources. This will not be tolerated, and persons found in violation of federal and international copyright laws will be held accountable. USAP network and share drives are periodically monitored for violations.

By signing the NSF/OPP Information Security Acknowledgement form and accepting the USAP network logon, you acknowledge your accountability to comply with copyright laws. The Enterprise Rules of Behavior (EntROB) also require that all copyright laws must be followed while using USAP infrastructure or equipment.

WARNING Violation of copyright laws will not be tolerated.

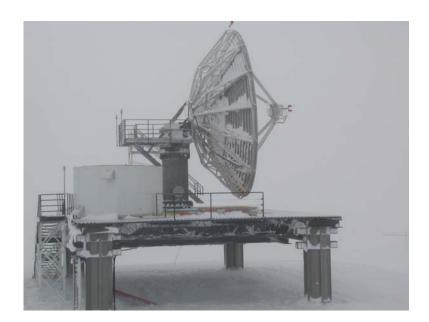


An Important Note on Bandwidth Usage

Bandwidth is an extremely scarce and expensive resource at each of the USAP stations in Antarctica.

The prohibitions listed above are intended to ensure bandwidth is available for scientific uses and is not congested with inappropriate activities.

Please note that at certain times approved applications may also cause bandwidth congestion, and users may be required to limit their activities if bandwidth congestion becomes an issue.





General USAP IT Best Practices

Password Protection

Protection of your personal password constitutes an important "first layer" in the total Information Security protection architecture.

Password requirements include:

- Minimum of eight alphanumeric characters in length
- Does not contain your account or full name
- Expires every 90 days and not reused for one year

Password/Pass-phrase Tips:

- Change password regularly
- Use strong passwords (8 characters, mixed-case, special characters)
- Make it easy to remember and hard to guess
- Protect your password DO NOT share passwords or write it down
- Examples of strong passwords include:
 - "D@rkg066Le\$" (Dark goggles)
 - "th1Kpant\$ (Thick pants)

It is also important to ensure an operating system is installed that provides password protection capabilities. For example, Windows 95/98/ME do not provide password protection.

Antivirus Protection

To provide protection from malicious code, all computers connected to the USAP network are required to have some form of active and up-to-date antivirus software in operation. All participants must ensure that the antivirus definition files are kept current, preferably by enabling the auto-update function.

There may be rare exceptions to this policy such as when grantee instrumentation computer system software may be incompatible with anti-viral software. These systems should be identified in the Research Support Plan.

IT technicians at operating USAP locations can provide antivirus updates for McAfee and Norton users. All other antivirus software users must ensure proper updates are installed prior to deployment.

It is also important to ensure all patches for operating system and software applications are up-to-date prior to deployment.



General USAP IT Best Practices (continued)

Physical Security

Theft of laptops is a recurring threat, especially while traveling. Always maintain physical contact with your laptop, PDA, etc. and never check-in a laptop, PDA, etc. with luggage.

Report loss or theft or any computer equipment, personal or US Government, as soon as possible to a local IT specialist and/or IT Help Desk.

Encryption of Personal Communications

Users may employ available encryption methods at their own expense on their non-USAP system when using the government's information infrastructure. Encrypted communications are still subject to monitoring and other authorized auditing actions. As a condition of use, users may be required to surrender their encryption key to appropriate NSF or law enforcement officials to assist in authorized investigative activities.

Protect Your System from Unsolicited Email

Unsolicited or unwanted email is sometimes referred to as "SPAM" or electronic junk mail. The following tips are recommended steps in protecting your system from SPAM:

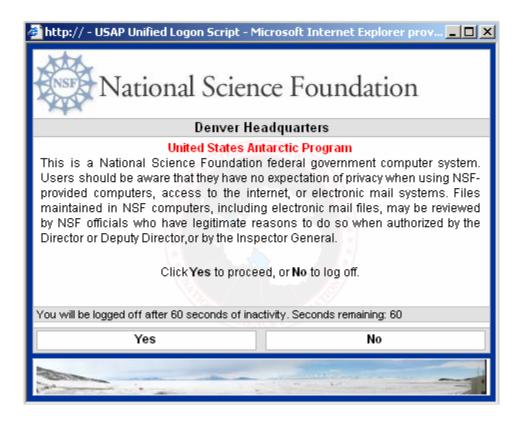
- Never respond or try to "unsubscribe"
- Never send a "flame" response
- Delete, without review, all suspicious or unexpected email traffic
- Forward SPAM email to SPAMSuspect@usap.gov



Expectation of Privacy

The USAP Logon Banner is displayed on all USAP information systems within the USAP infrastructure. The deployment and display of this banner brought USAP into compliance with Federal and NSF directives.

It is important that users read and understand this banner and are aware that they have no expectation of privacy when using NSF owned or provided information systems, which includes computers and access to the internet. Monitoring of USAP resources does occur in order to maintain the proper operational, maintenance, and security posture of the enterprise information systems.



NSF provided email systems (i.e., the Microsoft Exchange mail service and local LAN Microsoft Outlook mail client mail stores) are the property of the US Government and NSF. If users prefer to not have their personal communications subject to the NSF disclosure policy (described above and on the NSF Security Acknowledgement Form), then users should use their own web-based mail service and avoid storing their messages on the USAP network or desktop storage systems.



The National Science Foundation
Office of Polar Programs
United States Antarctic Program

Information System Rules of Behavior USAP Enterprise Information Infrastructure

EFFECTIVE DATE: 1 AUGUST 2004

1. ACCEPTABLE USES OF USAP INFORMATION RESOURCES

The following activities are considered acceptable uses of the USAP Information Infrastructure. All users are reminded that USAP mission activities always take precedence over any personal activity. The NSF reserves the right to restrict or otherwise limit personal use based on resource availability, conflict with official business, and unacceptable information security risks.

Personal Telephone and Facsimile Use. Users may make personal telephone calls (including use of facsimile machines and voice mail), provided such use complies with these Rules and other USAP policies and procedures, and involves only a minimal cost to the government. The user is responsible for charges incurred when using the infrastructure for personal use.

Personal Use of Electronic Mail. Some limited personal use of the government's electronic mail services is permitted, provided it does not interfere with the participant's work or the work of others. Typical authorized limited personal use of email includes emergency communications and personal communications with family members, health care professionals, or teachers.

Personal Use of the Internet. Some limited personal use of Internet services is permitted, provided it does not interfere with the participant's work or the work of others. Extreme care must be taken regarding content matter. Typical authorized limited personal Internet use includes:

- Accessing travel information, forms or information on the Intranet or Internet
- · Accessing parent organization information and online resources
- Accessing state and local government agencies on personal matters etc.
- Work-related events, such as technical symposiums, classes, and presentations
- Activities sponsored by the program, such as station recreational activities
- Events and activities specific to a particular USAP station or organization
- Program-sanctioned activities, such as blood drives, sanctioned clubs, and organizations
- Communications of reasonable duration using instant messaging applications
- Recreational web-browsing of a reasonable duration, during off-duty hours, that does not violate other elements of this policy and does not conflict with mission activities

Encryption of Personal Communications. Users may employ available encryption methods at their own expense on their non-USAP system when using the government's information infrastructure. Encrypted communications are still subject to monitoring and other authorized auditing actions. As a condition of use, users may be required to surrender their encryption key to appropriate NSF or law enforcement officials to assist in authorized investigative activities.

Third Party Software, Freeware and Shareware. Subject to management approval, users may install third party software, including freeware and shareware, when the software is required to support their work responsibilities. Users must possess a valid license for all third party software installed on government information systems assigned for their use. Prior to installation, users must use antivirus tools to ensure the software is free of viruses. If the third party software is discovered to be the cause of system errors or other problems, it will be removed.

Mailing Lists. Users are permitted to subscribe to mailing lists required to support their work responsibilities or grant tasks. While deployed to the Antarctic research stations or vessels, users must provide the local site

IT staff with appropriate unsubscribe information so the lists may be cancelled upon their departure. The NSF reserves the right to restrict or deny mailing list subscriptions and traffic to meet mission requirements.

Personal Business or Commercial Uses. While deployed, users may conduct limited personal business matters using government information resources, such as when a sub-contractor needs to communicate with their home organization. Additionally, NSF may authorize the use of information resources to support the one-time disposal of personal items, such as normally occurs during the transition of personnel at the Antarctic research stations.

Election Material. It is acceptable to use the USAP information infrastructure to disseminate information regarding the process to participate in U.S. federal, state and local elections. For example, information about absentee ballot procedures is allowed. Information advocating a position for or against a candidate, an issue, or other element of an election is not allowed.

2. PROHIBITED USES OF USAP INFORMATION RESOURCES

The following activities are prohibited uses of the USAP Information Infrastructure.

Illegal Activities. All illegal activities are forbidden.

Adverse Activities. Any activity that could embarrass the NSF, adversely affect its interests, interfere with the performance of the USAP mission, or exceed allocated resources is prohibited.

No processing of classified information. The storage, processing or transmission of government classified information on unclassified computer systems, networks or via the Intranet and Internet is prohibited. All USAP information resources are to be considered unclassified and are not accredited for processing or transmitting classified information.

Hostile Environment. Under no circumstances is it permissible to access or download material that would create a hostile or offensive work environment, such as racist or sexually explicit material. This prohibition includes, but is not limited to, the following activities: accessing or transmitting sexual images, messages, jokes or cartoons; hate speech, or material that ridicules others on the basis of race, creed, religion, color, sex, disability, age, national origin, or sexual orientation or is otherwise defamatory or derogatory; content prohibited by law and/or regulation.

Prohibited Email Activities. Allowing others to use an assigned email account is prohibited. Placing others on a mailing list, subscription list, chat room list, or other list service without their consent is prohibited. Creating, originating, distributing or circulating "chain" or "pyramid" transmissions, mass mailings, hoaxes, or harassing messages is prohibited. "All employee" or broadcast messages disseminated using USAP information resources must be business related and approved in advance by the applicable manager. Using large distribution lots for non-business-related purposes, or sending large, memory intensive files or applications which may impede or disturb network operation is prohibited. Using email to proselytize or solicit for personal commercial ventures, religious or political causes, or outside organizations is prohibited.

Personal Information Services. Due to resource constraints, personal servers of any type are prohibited. In the case of approved science activities, all web services, file transfer services, and telnet/SSH services required for project support must be listed in the support requirements section of the user's science proposal, SIP, or ORW, and must be approved by NSF.

Chat Room and News Group Participation. Use of USAP information resources to participate in chat rooms, news groups, or similar activities where the public will view the posting is prohibited because such postings make use of the NSF's usap.gov domain. Use of the USAP Internet address of "usap.gov" is a representation of the National Science Foundation, analogous to the use of NSF letterhead in which the opinions expressed reflect on NSF.

Political Activities. Use of USAP information systems to support organized political activities, such as an election campaign or an organized lobbying activity is prohibited.

Gaming. Use of USAP information resources to participate in Internet-based gaming activities is prohibited due to the large consumption of bandwidth such activities incur. Gaming activities using local network resources may be permitted at the discretion of station managers.

Prohibited Business and Commercial uses. Conducting non-program business activities is prohibited. Using USAP resources to advertise commercial goods or services for sale for monetary or personal gain is prohibited.

Using USAP resources to conduct non-program commercial activities is prohibited. Users may not establish on maintain a web-based business at a USAP operating location.

Prohibited Network Activities. Knowingly downloading, installing, storing or using malicious software, viruses, "cracking," keystroke monitoring software, or other actions that may be disruptive or counterproductive to business operations is prohibited. The introduction or use of packet sniffing software or any software intended to capture passwords is prohibited except when explicitly authorized for contract or

business purposes and coordinated in advance with NSF. Monitoring network traffic (e.g., run a sniffer); accessing IT resources; or copying data, files, or software without prior authorization is prohibited

3. EXPECTATIONS OF PRIVACY WHILE USING USAP INFORMATION RESOURCES.

Users of USAP information resources have no expectation of privacy with respect to any information residing on government information systems or transmitted over government information networks, other than the regular expectations associated with information governed by the Privacy Act of 1974, as amended. The NSF considers user information placed on USAP information systems or transmitted across the USAP information infrastructure to be entrusted information, which is not normally released for public viewing without the user's authorization.

The NSF will release user information found on USAP information resources to appropriate law enforcement agencies when asked to do so as part of an official investigation or other sanctioned activity. The NSF will, to the best of its ability, protect information within the USAP information infrastructure from unauthorized access. However, users make use of the government's information resources at their own risk. The NSF is not liable to the user for damages caused by unauthorized uses of the USAP infrastructure. Systems and Network administrators are authorized to access information located on USAP information resources or transmitted across the USAP information infrastructure when conducting their official duties. If such access occurs, the information will not be released for public viewing or to unauthorized persons.

4. GUIDING PRINCIPLES FOR THE USE OF USAP INFORMATION RESOURCES

In establishing these Rules of Behavior, the NSF has applied these guiding principles:

- USAP information resources, especially at the Antarctic research stations and aboard the research vessels, may be used for certain personal uses, in a manner that does not interfere with the program's mission. All mission activities take precedence over personal activities at all times.
- Personal communications, such as email or phone calls, that do not involve USAP business, will be considered entrusted communications, and not normally monitored or shared without the consent of the participating parties. Exceptions to this principle include requirements to make such communications available to support lawful investigations, to ensure proper operations and maintenance of the USAP infrastructure, or to correct or prevent damage to the USAP information infrastructure.
- Systems and network administrators, and others who may be exposed to a participant's personal communications as a part of their normal duties, are in a position of trust and will be held accountable for violations of that trust on their part.
- The National Science Foundation is not a common carrier, and does not possess the requisite infrastructure and resources necessary to guarantee the privacy of information processed or stored on USAP information systems or networks. Users of USAP systems agree that the government and its representatives are not responsible for the loss of personal information, or for the disclosure of personal information as a result of unauthorized activity by participants or by others outside the program.

Participants and their leaders are expected to use good judgment in appropriate use of program assets consistent with the purposes of these Rules. However, the final determination regarding what constitutes appropriate use consistent with these Rules is reserved to NSF management in coordination with the participant's organization.

5. ADDITIONAL GUIDANCE FOR USERS

User Responsibilities. When using the USAP information infrastructure you will be held accountable for your actions related to the information resources entrusted to you. USAP information resource users have the following responsibilities:

- Comply with these Rules of Behavior and all other USAP, OPP and NSF policies and procedures, as well as the policies and procedures of their sponsoring organization
- Protect sensitive information from disclosure to unauthorized individuals or groups. Disclosure of information is not at the users discretion, only when authorized by the NSF
- Ensure information security through effective use of user IDs and passwords
- Protect hardware, software, and information from damage, abuse, and unauthorized use
- Report security violations and vulnerabilities to the proper authorities. The Help Desk is the first point of contact for all reports
- Users shall not access, modify, duplicate, destroy, or disclose any information or software on a network or a computing system, unless so authorized
- Users shall not leave an active system unattended, thereby allowing an unauthorized person to gain access to a network or a computing system through the user's login session

• Users are responsible to ensure the integrity, availability, and confidentiality of all work-related data on systems assigned for their use. It is recommended that critical data on a hard disk be backed up periodically

Authorization for Access. Portions of the USAP information infrastructure are restricted to authorized users that have been granted special access permissions by the National Science Foundation or its authorized delegates. These areas are identified by warnings posted at their entry point or by the system's interactive request for authentication. You shall access only those areas for which you have been granted authorization to access.

Copyright and Intellectual Property Issues. All users of USAP information resources must comply with U.S. and international laws regarding copyrights and other intellectual property. Users must comply with copyright licenses associated with the USAP information resource they are using. Users shall not make copies of licensed software for other microcomputers users or personal use. The presentation or display of digital media such as software, pictures, literary works and songs must comply with existing laws.

Alternative Workplace. When working at home or an alternative workplace, USAP information resources users must establish security standards at their alternate workplace sufficient to protect hardware, software, and information. This includes having only those resources employees really need and have authority to use; establishing a thorough understanding and agreement with supervisors as to what employees' security responsibilities are; using software according to licensing agreements; ensuring that confidentially-sensitive information downloaded is secure; being alert for anomalies and vulnerabilities; and reporting these anomalies to proper officials and seeking advice when necessary.

Personal File Storage. Each user is typically assigned a 'home' directory on their primary network which is usually accessible from any computer. This drive is provided for the storage of personal files. Files stored in this directory are not considered private, but will be afforded some measure of confidentiality against unauthorized access and disclosure.

Common File Storage. At each operating location, one or more directories are established for common use, and are accessible to all users. A temporary directory is provided for temporary (less than one week) use by users. Users have full rights to this directory and may add or delete files and directories as needed. All files and directories in the temporary directory are deleted automatically once a week, on a schedule determined by the station IT staff. A permanent common area is intended for operational storage and use. Users typically have read-only rights to this directory.

Departmental File Storage. Within each station network, directories are established for the various functional departments and participant organizations. Management of the allocated space is the responsibility of that department, with the assistance of the contractor IT department. User privileges for their department directories are set at the discretion and with the approval of the department manager.

Laptop Computers and other portable devices. Laptops and other portable computing devices, such as Personal Digital Assistants, must be evaluated for compatible software and up-to-date antivirus protection before they are used on the USAP network.

Official Business. Official business broadly includes any information processing that is required as part of an individual's work responsibilities. Official business includes, but is not limited to, the performance of USAP work-related duties in position descriptions, professional training and class work, work covered under grant agreements with the NSF, tasks directed via NSF contracts, agreements with international partners, and support activities related to NSF contract tasking.

Ownership of Information. All information located on a government information system is the property of the government, unless otherwise identified as belonging to another entity as a result of a contract or a grant agreement with the government.

Personal Use. Personal use broadly includes any information processing that is conducted in support of activities that do not constitute official business. A personal use activity is typically one in which the individual user, or a non-USAP entity is the primary beneficiary. Participants who use program assets for personal purposes are responsible for any and all liability that may arise from such personal use to include any violation of law, regulation or policy during such use.

Use of Antivirus Applications. All users of USAP information resources must also comply with USAP policies regarding the use of antivirus software.

Sensitive Information. The USAP information infrastructure can be publicly accessed. Do not place any of the following types of information on a USAP information system unless you are specifically authorized or instructed to do so: Medical Information; Government Acquisition Information; Operational Security Information; Proprietary Information; any other information considered sensitive. Where applicable; USAP information resource users must acquire and use sensitive information only in accordance with established policies and procedures. This includes properly safeguarding sensitive information contained in hardcopy or

softcopy; and ensuring sensitive information is accurate, timely, complete, and relevant for the purpose which it is collected, provided, and used.

Reporting Violations. Users shall immediately report any known or suspected violations of these Rules or other Information Security policies or procedures. Please contact the USAP Help Desk at 720-568-2001 or helpdesk@usap.gov. Additional information may be found at https://www.usap.gov.

6. ADDITIONAL GUIDANCE FOR CONTENT PROVIDERS AND SYSTEMS ADMINISTRATORS

Auditing of Information Systems. Where applicable; system administrators or security administrators will regularly review telecommunications logs and phone records, and conduct spot-checks to determine if Users are complying with controls placed on the use of USAP information resources.

Protection of Personal Information. During the course of their duties, Content Providers and Systems Administrators may have access to information of a personal nature. This information is considered entrusted and is not to be disclosed unless authorized or directed to do so as part of a lawful investigation, or as directed by NSF management.

7. GUIDANCE ON PASSWORDS

Users shall follow the guidance below when creating or using their passwords:

- Passwords are considered operationally sensitive information and shall not be disclosed to coworkers; written down; or displayed anywhere that might allow others to copy or memorize them.
- Users shall avoid using passwords containing obvious items or information, such as names, initials, important numbers, etc.
- Passwords should not be trivial, predictable, or obvious.
- Passwords should be at least eight characters long and should contain a combination of alphabetic (upper and lower case), numeric, and special characters. Never use all numeric passwords.
- Avoid using words or permutations of words found in a dictionary.
- Avoid using names of family members or pets, hobbies, dates, or other familiar or easily guessed information about yourself.
- Change your password frequently (if the system(s) does not automatically.)
- Passwords must be changed when they expire, or are compromised.
- Any unauthorized use of an account or improper distribution of a password may warrant the immediate termination of the account.

8. GENERAL INFORMATION

The National Science Foundation provides information systems for the purpose of transacting official business of the U.S. Antarctic Program. The NSF establishes Rules of Behavior for the proper use of these systems. Any non-program use of USAP information resources must be authorized by NSF management. The National Science Foundation has created these Rules of Behavior to guide users, content providers and system administrators in the appropriate and acceptable use of USAP information resources. This document applies to all information resources that comprise the USAP Enterprise information infrastructure and to all users of these information resources. In this document, the term "you" or "your" refers to the User. The term "User" also includes Content Providers and Systems Administrators.

The USAP information infrastructure is a federal government information system composed of several interrelated information systems owned by, and operated for, the National Science Foundation. A significant portion of USAP program activities take place at remote or isolated locations managed by the U.S. government. Private sector support infrastructure is not available for the personal use of program participants at these locations. Consistent with federal guidelines for agency management of agency resources (5 USC 1103(a)(3)), USAP information systems may be used for morale and welfare purposes as deemed appropriate by program management.

Information maintained in NSF systems, including electronic mail files, may be reviewed by NSF officials who have a legitimate reason to do so when authorized by the Director or Deputy Director, or by the Inspector General. Unauthorized attempts to modify any information stored on this system, to defeat or circumvent security features, or to use this system for other than its intended purposes are illegal and may result in disciplinary action, criminal prosecution, or both.

Where applicable; USAP information resource users must comply with NSF policies and procedures, as well as your own organization's policies and procedures governing the personal use of NSF government

equipment. In the event of a conflict, the NSF policies and procedures, including these Rules of Behavior, take precedence. NSF specific policies and guidelines can be reviewed by going to the NSF web site (http://www.nsf.gov/) and selecting "Policies."

These Rules of Behavior apply to all users of the USAP information infrastructure whether you are an NSF employee or not. USAP information resource users must comply with these Rules of Behavior. Because written guidance cannot cover every contingency, you are asked to go beyond the stated rules, using your best judgment and highest ethical standards to guide your actions. These Rules are based on Federal laws and regulations and agency directives. As such, there are consequences for non-compliance. Depending on the severity of the violation, at the discretion of management and through due process of the law, consequences can include: suspension of access privileges, reprimand, suspension, demotion, removal (dismissal), and criminal and civil penalties.

Your acknowledgement of these Rules of Behavior and your continued use of the system constitute your acceptance of these Rules of Behavior and of other relevant rules and regulations of the federal government and the National Science Foundation. Acknowledgement is accomplished by selecting the agreement button when prompted to do so, or by signing a copy of this document as part of your account processing.

If you have any questions about these Rules, please contact the USAP Help Desk at 720-568-2001 or helpdesk@usap.gov. The responsible NSF point of contact for these Rules of Behavior is Mr. Patrick D. Smith, NSF Office of Polar Programs, 4201 Wilson Blvd, Suite 755, Arlington, VA 22230, 703.292.8032. NSF specific policies and guidelines can be reviewed by going to the NSF web site (http://www.nsf.gov/) and selecting "Policies."

9. ACKNOWLEDGEMENT OF THESE RULES OF BEHAVIOR

Your acknowledgement of these Rules of Behavior and your continued use of the system constitute your acceptance of these Rules of Behavior and of other relevant rules and regulations of the federal government and the National Science Foundation. Clicking "Yes" in the USAP Logon prompt is an indication of your acknowledgement and acceptance of the EntROB.

Required Action for Acknowledgement

Sign and return the NSF/OPP Information Security Acknowledgement form to acknowledge the Enterprise Rules of Behavior (EntROB) and Information Security Awareness Program.

NATIONAL SCIENCE FOUNDATION

4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230

Acknowledgement of Information Security Policies &

Permission for Use of National Science Foundation/United States Antarctic Program Information Systems and Services

Scope of Authorization

Permission for use of National Science Foundation/United States Antarctic Program (NSF/USAP) information systems and services is restricted to authorized participants in the United States Antarctic Program, designated contractors and U.S. Government employees, official visitors, or individuals otherwise having an authorized purpose for gaining access to, and utilizing the services of, NSF/USAP owned, operated, or provided information systems and services. USAP information systems and services include, but are not limited to, those located at the support contractor's headquarters and at USAP facilities in Port Hueneme, CA; Christchurch, NZ; Punta Arenas, Chile; Antarctic stations and research vessels.

Agreement Provisions

Permission for use of NSF/USAP information systems and services requires the following acknowledgements:

- 1. <u>Government owned system.</u> The information systems of the United States Antarctic Program are National Science Foundation federal government owned information systems. When attaching or otherwise interconnecting personally or privately owned information systems with government systems, the NSF reserves the right to extend its information security policies, Rules of Behavior, procedures, and guidance to these systems in order to ensure the integrity of NSF/USAP systems.
- 2. <u>Mandatory awareness training</u>. Individuals using NSF/USAP information systems and services must receive information security awareness training no less than once annually. Awareness training is a prerequisite for gaining permission to use NSF/USAP information systems and services and may be provided by verbal briefings, written reference materials, and/or on-line training systems. Permission to use NSF/USAP information systems and services may be suspended, revoked or denied, as appropriate, for individuals who have not fulfilled the mandatory awareness training requirement.
- 3. Only authorized use is permitted. Individuals using NSF/USAP information systems and services without authority, or in excess of their assigned authority, are subject to revocation of access privileges, in part or in whole. Further, access for purposes beyond authorization or assigned authority may be a violation of federal law. Penalties for misuse may include, but are not limited to, appropriate administrative sanctions, civil liability or criminal prosecution.
- 4. No expectation of privacy. Individuals using NSF/USAP information systems and services should be aware that they have no expectation of privacy. Files maintained in NSF/USAP information systems, including electronic mail files, may be reviewed by NSF officials who have legitimate reasons to do so when authorized by the Director or Deputy Director, or by the Inspector General. Individuals should be aware that NSF reserves the right to conduct work-related investigations for the purpose of investigating work-related misconduct, such as violations of the acceptable use policy.
- 5. Common Authority and Consent to be Monitored. In the course of conducting routine and corrective systems maintenance and administration, NSF designated systems technical personnel have legitimate work-related needs for access to files, contents of files, configuration data, and system log information, as well as monitoring of user activities. This extends to any personally or privately owned information systems attached to, or otherwise interconnected with, NSF/USAP systems such that the electronic exchange of information between the two is possible. If such work-related activities reveal possible evidence of criminal wrongdoing, NSF authorizes system personnel to provide the information gained from such activity to NSF officials for administrative action, with referral of such matters to law enforcement officials when appropriate.

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NSF/OPP Information Security Acknowledgement	/	
United States Antarctic Program	Initials	Date
September 9, 2005	Initials	Dute

- 6. <u>Prohibition on tampering</u>. Unless explicitly authorized by NSF designated personnel, individuals using NSF/USAP information systems and services do not have permission to physically access, modify, or alter configuration settings or in any way change or disrupt any information system or network infrastructure (data centers, servers, embedded systems, telephone systems, wiring closets, frame rooms, cable plant other than accessing designated outlets, etc.). Individuals found to be in violation of this prohibition may be subject to appropriate administrative sanctions, civil liability or criminal prosecution.
- 7. Protection of sensitive information. Individuals granted access to NSF/USAP information systems and services may, in the course of their official duties, have access to information designated by NSF as sensitive, or protected by federal law including, but not limited to, personal information, procurement information, trade secrets, and other information types. Individuals in such circumstances agree that the confidentiality, integrity, and availability of this information must be protected from unauthorized disclosure, loss, or corruption. Individuals found to be in violation of this prohibition may be subject to appropriate administrative sanctions, civil liability or criminal prosecution.

Limit of Access Authority

Permission to access or otherwise utilize NSF/USAP information systems and services shall be terminated upon separation from the United States Antarctic Program to include, but not limited to, termination of grant or grant extensions, termination of employment in support organizations, termination of Government employment, termination of guest/visitor status, determinations by NSF designated authorities to restrict or terminate access, etc. Continued use of NSF/USAP information systems and services, once access authority has terminated is a violation of federal law.

Acknowledgement

I, the undersigned, understand that I am authorized to access NSF/USAP information systems and services, as defined under the provisions of this Agreement. I acknowledge that I have received the required information security awareness briefing and my responsibility to abide by all information security policies, Rules of Behavior, procedures, and guidance issued by the National Science Foundation as applied to the United States Antarctic Program information systems and services, either directly or through its duly designated support organizations. I further acknowledge that I have read and understood the terms of this Agreement and agree to abide by them.

Printed Full Name:	Date:	
Timed Full Name.	Date.	
Signature:		
Signature.		
Organizational Affiliation:		
Organizational Attination.		
Sponsoring Organization:		
Sponsoring Organization.		